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STUDIES ON THE DISTRIBUTION OF THE ALLOTYPIC
VARIANTS OF THE IgG RECEPTORS (FcyrIIa AND FcyrIIIb)
AND THEIR ASSOCIATION WITH SEVERE CLINICAL
MALARIA AMONG GHANAIAN CHILDREN

#### A THESIS SUBMITTED

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# **DECLARATION**

I hereby declare that, with the exception of references to other peoples work which I have duly acknowledged, all the experimental work described in this thesis was carried out by me, and this thesis, either in whole or in part has not been presented elsewhere for another degree.

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# **DEDICATION**

To my children; Kimmy, Kobby and Joojo



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# LIST OF ABBREVIATIONS

ADCC Antibody dependent cellular cytotoxicity

ANCA Anti-neutrophil cytoplasmic antibodies

ARDS Adult respiratory distress syndrome

BSM Bispecific molecules

F ab Fragment antigen binding

Fc Fragment crystallisable

GPI Glycosylphosphatidylinositol

h Human

HR High responders

IC Immune complexes

Ig Immunoglobulin

LR Low responders

m Murine

mAB Murine antibody

MHC Major histocompatibility complex

NA Neutrophil antigen

NK Natural killer cells

PMN Polymorphonuclear cells

RBC Red blood cells

RIA Radioimmunoassay

SDS Sodium dodecyl sulphate

SLE Systemic lupus erythematosus

TNF Tumour necrosis factor

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Appendix I: Preparation of solutions

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# **ABSTRACT**

The immunoglobulin G (IgG) receptors FcyRIIa and FcyRIIIb vary among different ethnic groups, and more importantly, are also known to be associated with either susceptibility to or protection from certain diseases. These variations are manifest as differences in the antigen-binding capabilities of the receptors. The genes encoding for these receptors are polymorphic and these also vary among populations. These variations can be investigated using molecular methods such as PCR. The aim of the present study was to determine if there were any significant differences in the distribution of these genotypes among some of the ethnic groups in Ghana and also if there was any association between the genotypes and the incidence of severe malaria.

A total number of 329 children, belonging to four different tribes were recruited for the study, of which 75 healthy individuals formed the control group. The 254 patients who were recruited were diagnosed as having uncomplicated, severe anaemia or cerebral malaria. Human DNA was isolated from filter paper blood blots for PCR analysis using allele-specific primers for FcyRIIa to detect H/H131, H/R131, R/R131, and FcyRIIIb to detect NA1/NA1 and NA2/NA2 genotypes.

The results obtained revealed that there was no association between ethnicity and the FcγRIIa genotype, (P=0.78) and FcγRIIIb genotypes (P=0.23). With regard to the incidence of malaria and the FcγRIIa genotypes, the following associations were found (P≤0.002 in all cases); firstly, there were significantly more of the homozygous FcγRIIa-R/R131 genotypes among patients with severe anaemia and cerebral malaria. Secondly, there were significantly less of the homozygous H/H131

in all the three forms of malaria and thirdly, the heterozygous Fc\(gamma\)RIIa-H/R131 was associated with only severe anaemia. These observations suggest that the homozygosity of the R allele is a heritable risk factor for severe malaria, while the H allele confers some degree of protection against the disease both in the homozygous and heterozygous state.

Similarly, no association was found between the Fc $\gamma$ RIIIb and ethnicity (P>0.05. The homozygous NA1/NA1 was found to be significantly dominant among the patient group (P=0.003). The NA2/NA2 genotype on the other hand was significantly reduced in the patient group (P=0.000), and also in all the three forms of the diseases (P< 0.04). The heterozygous NA1/NA2 was found to be intermediate between the two, but was significantly underepresented in the cerebral and severe anaemia patients (P≤ 0.001). The null genotype was found to be overepresented within the patient group (P=0.02).

These findings suggest that the NA2 offers protection against all forms of malaria and this effect is even observed in the heterozygotes NA1/NA2 among the severe anaemia and cerebral malaria groups. NA1, on the other hand is a heritable predisposing factor to symptomatic malaria.

# **CHAPTER 1**

# INTRODUCTION AND LITERATURE REVIEW

#### 1.1 INTRODUCTION

Human immunoglobulin G receptors (FcγR) provide an important link between the humoral and cellular branches of the immune response. FcγR engagement may result in a plethora of biological responses including phagocytosis, endocytosis, antibody-dependent cellular cytotoxicity (ADCC), release of inflammatory mediators, facilitation of antigen presentation and clearance of immune complexes (Van de Winkel & Capel, 1996).

FcγR belongs to the Immunoglobulin (Ig) super gene family and three classes of leukocyte FcγR encoding 12 receptor isoforms have so far been identified (Van de Winkel and Anderson, 1991). These expressed molecules differ in their molecular weights, affinity and specificity for Immunoglobulin G (IgG) isotypes, cell distribution patterns and capacity to trigger intracellular signals (Kimberly *et al.*, 1995).

Fcγ receptors participate in a much broader range of functions other than disposal of immune complexes. For the phagocyte, activation of Fcγ receptors can trigger a spectrum of integrated cell programs including an oxidative burst, secretion of intracellular granule contents, cellular cytotoxicity, gene activation with new protein synthesis and elaboration of various cytokines (Kimberly *et al.*, 1995). Fcγ receptors on B lymphocytes can provide negative regulatory signals, and in the context of autoimmunity, modulation of B cell hyperactivity stands out as a potential

therapeutic target. Fcy receptors on natural killer (NK) cells mediate antibody-dependent cellular cytotoxicity.

Fcγ receptors, expressed on a broad range of blood cells, play an important role in the binding and phagocytosis of IgG –opsonized particles (Van de Winkel & Anderson, 1991). The most abundant phagocyte, the polymorphonuclear leukocyte (PMN), expresses constitutively two of the three FcγR subclasses, FcγRIIa (CD32) and FcγRIIIb (CD16). FcγRI (CD64) expressed on activated PMN, binds monomeric IgG with high activity. FcγRIIa and IIIb are low affinity receptors interacting with complexed or aggregated IgG.

Both FcyRIIa and IIIb exhibit a polymorphism, which is genetically determined. FcyRIIa may occur as either FcyRIIa-H131 or FcyRIIa-R131 allotype. The substitution of just one amino acid, histidine or arginine at position 131 of the protein molecule accounts for this, and has been found to be critical for IgG binding (Salmon et al., 1992). FcyRIIIb polymorphism can occur either as neutrophil antigen1 (NA1) or neutrophil antigen2 (NA2), and these differ in the number of glycosylation sites at position 65 and 82 (Salmon et al., 1992).

IgG subclass antibodies also have different properties with respect to binding to the IgG receptors bound on the surface of leukocytes (Burton & Woof, 1992). Human IgG1 and IgG3 subclasses interact with all FcγR classes. FcγRIIa has been shown to be the sole FcγR class capable of binding human IgG2, with the H allele having a much higher affinity for IgG2 than the R allele (Salmon *et al*, 1992). With respect to the FcγRIIIb poymorphism, it has been shown that there is a functional difference in

the activity of PMNs expressing the IIIb-NA1 or IIIb-NA2 allotypic forms (Salmon et al, 1992).

Results from some studies seem to suggest that the Fcy receptor polymorphisms may be relevant in health and diseases, especially in situations where human IgG2 is the predominant antibody subclass produced, such as those involving anti-carbohydrate immune responses (Insel & Anderson, 1988). Abnormal Fcy receptor-mediated clearance of IgG-opsonized erythrocytes, a model for circulating immune complexes, has been demonstrated in systemic lupus erythematosus (SLE) and other immune complex diseases (Frank et al., 1979). These polymorphisms have also been implicated in the susceptibility of humans to heparin-induced thrombocytopenia (Cines et al., 1980; Chong et al., 1982). This is a disorder associated with antiplatelet heparin- dependent antibodies that cluster and trigger the platelet FcyRII (Kelton et al., 1988; Chong et al., 1989). Furthermore, the frequency of individuals homozygous for H131 appears underrepresented in a group of patients with recurrent bacterial infections (Sanders et al, 1994), and in a group susceptible to meningococcal disease (Fijien et al., 1993). Also, the level of circulating human IgG2 is significantly lower in H/H131 individuals as compared to those with the R/R131 genotype (Parren et al., 1992).

The frequency of this allotypic polymorphism may vary among ethnic groups. It is possible that ethnic variation in the FcyRIIa allotype frequency may have consequences for the susceptibility, within certain populations, to specific diseases, especially those associated with an human IgG2 humoral response. Reports of high affinity binding of human IgG2 by H131 transfectants (Warmerdam *et al.*, 1991) as well as greater phagocytosis of particles opsonized with human IgG2 by H/H131

3

PMNs (Salmon et al., 1992) suggest that the H/H131 genotype may have advantages in this respect.

Eastern Asians (Japanese, Chinese, Indians) are more frequently of the murine IgG1 low responder phenotype compared to Caucasians. Such variation may in part explain racial differences in the susceptibility to certain diseases such as those caused by *Haemophilus influenzae* and *Neisseria* (Nagata et al., 1989; Musser et al., 1990; Figueroa & Densen, 1991). In addition to the above, epidemiologic reports also suggest the possibility of such correlations. For example the incidence of meningococcal disease among Japanese is very low compared to non-Japanese inhabitants of the Midwestern United States (Sclech et al., 1985; Densen et al., 1990; Wenger et al., 1990). It has also been reported that *H influenzae* infections are very rare in Japan. In healthy Caucasian donors, the RIIIb-NA2/NA2 phenotype is expressed more often whereas the RIIIb-NA1/NA1 phenotype predominates in Japanese individuals (Ohto & Matsuo, 1989; Sanders et al., 1994).

In Ghana, malaria is a major cause of infant morbidity and mortality. The human disease is a protozoan infection of the red blood cells transmitted by the bite of a blood feeding female anopheles mosquito. There are four generally recognized species of malaria parasites in humans: *Plasmodium falciparum*, *P. malariae*, *P. vivax* and *P. ovale* (Garnham, 1966).

Malaria can be complicated (severe) or uncomplicated. The clinical features of uncomplicated malaria are common to all four species of *Plasmodium*. The first symptoms are non-specific and resemble influenza. The duration of illness is proportional to the level of immunity and differs between the parasite species. Death

from *P. vivax, P. ovale* or *P.malariae* infections is very rare. On the contrary, falciparum malaria is a potentially lethal infection. The progression to severe disease can be rapid. The major complications of *P. falciparum* infections in children are cerebral malaria, severe anaemia, respiratory distress and hypoglycaemia (WHO, 1990).

Cerebral malaria is defined as unrousable coma (Blantyre coma scale score≤ 2), asexual parasitaemia, and exclusion of other causes of coma. Severe anaemia is defined as haemoglobin level less than 5g/dl and asexual parasitaemia (WHO, 1991). It usually presents as an acute fall in haemoglobin level. Severe anaemia has a peak incidence in children of a ge around 1 - 2 y ears and is common in a reas with high seasonal transmission than in those with low seasonal transmission (Snow et al., 1994).

Two types of immunity offer resistance to malaria; innate and acquired immunity (Marsh, 1993). Innate or natural resistance to malaria is associated with haemoglobinopathies, major histocompatibility complex (MHC), Duffy blood groups and other RBC variants (Marsh et al., 1995). Acquired immunity to malaria reflects a shift from a relatively non-specific cell mediated response to a highly specific antibody mediated and cytotoxic T cell responses. Different immune responses come into play depending on the stage in the parasite's life cycle. While there is antibody opsonization of the sporozoites for phagocytosis, the immune response to the liver stages of the parasite involves the killing of intracellular parasites by nitric oxide and cytotoxic T cell destruction of infected liver cells (Hill et al., 1991).

Acute malaria is characterized by non-specific polyclonal B-cell activation. There is reduction in circulating T cells with an increase in the  $\gamma/\delta$  T- cell subset (Ho *et al.*, 1990), but other T cell proportions are usually normal (Ho and Webster, 1990). Although residents of hyperendemic or holoendemic malarious areas have hypergammaglobulinaemia, most of this antibody is not directed against malaria antigens (White, 1996). In non-immune individuals, the acute antibody response to infection often comprises mostly Immunoglobulin M (IgM) and Immunoglobulin G<sub>2</sub> (IgG<sub>2</sub>) isotypes, which are unable to arm cytotoxic cells and thus kill asexual malaria parasites (Bouharoun-Tayoun and Druilhe, 1992).

Although in adults,  $IgG_2$  is often the predominant antibody isotype in the response against polysaccharide antigens, young children exhibit a slow maturation of this isotype. In neonates, there is always a certain level of maternal  $IgG_2$  found in the sera, which is given transplacentally, but this disappears about 5 months after birth (Roitt, 1988).

It has long been established that the host response to Plasmodium infection includes the production of enlarged populations of both peripheral blood monocytes and mature macrophages. In rodent malaria, experiments have shown that both the spleen and the liver remove parasitized erythrocytes more rapidly from the circulation than they remove unaffected cells (Quinn &Wyler, 1979; Dockrell et al., 1980). Furthermore, macrophages from infected animals are more phagocytic for parasitized cells than are normal macrophages; this enhanced phagocytic activity depends on the presence of either opsonins or cytophilic antibodies and also on the degree of macrophage activation (Criswell et al., 1971; Shear et al., 1979).

Protective antibodies inhibit parasite expansion through co-operation with the monocyte-macrophage series by binding to parasitized erythrocytes and activating their Fc receptors (Bouharoun-Tayoun *et al.*, 1990). Although little is known about the nature of this macrophage activation, it seems reasonable to suppose that it includes increased expression of specific surface receptors for the Fc portion of immunoglobulin G (Fc $\gamma$  receptors), since this would clearly facilitate the phagocytosis of antibody-coated targets (Lee *et al.*, 1989; Ho *et al.*, 1990).

There are presently three methods that can be used to investigate FcγRIIa polymorphism; these are, (i) functional assays, (ii) immunofluorescence assays using both a pan-CD32 mAb and mAb 41H16 that binds specifically to the FcγRIIa-R131 allotype (Gosselin *et al*, 1990) and (iii) PCR-based methods. There are also methods that can be used to type FcγRIIIb polymorphism and these are (i) biochemical methods, (ii) immunofluorescence assays and (iii) sensitive PCR-based methods.

#### 1.1.1 Rationale

There has been considerable increase in interest in assessing the role of Fc $\gamma$  receptors because of their importance in providing a bridge between the humoral and cellular arms of the human immune response (van de Winkel and Capel, 1993). Appreciable differences in the distribution of Fc $\gamma$ RIIa and Fc $\gamma$ RIIIb allotypes have been reported among different ethnic groups. This poses interesting questions about the selective pressure that maintains the polymorphisms in these genes in human populations, and about the impact of this polymorphism in the outcome of infection and clinical manifestations.

Several hospital-based studies have shown an association between FcyRIIa-H/H131 allotype and protection against encapsulated bacterial infections whereas the poorly IgG<sub>2</sub>-binding allotype, FcyRIIa-R/R131 is associated with increased susceptibility to these pathogens. No association has so far been reported between any protective immune response and FcyRIIIb allotypes (Van Schie and Wilson, 1997).

Because of the high rate of mortality associated with falciparum malaria especially among children under 5 years of age, it expected that any genetic trait that provides some protection would be selected for. We set out therefore to investigate whether there is any association between the protective immune response against *falciparum* infections and FcyRIIa and FcyRIIIb allotypes.

#### 1.1.2 Objectives

The aims of the present study are to find out if (i) there are any differences in the distribution of Fcy receptors among Ghanaians and (ii) to determine if there is any association between the FcyRIIa and FcyRIIIb and protective immune response against *falciparum* malaria. These will be achieved by conducting a case study on a number of children diagnosed as having uncomplicated malaria, cerebral malaria or severe anaemia.

The specific objectives were as follows

- (i). To recruit and obtain demographic data on age, sex and ethnicity of a cohort of children.
- (ii). To categorize the children according to their disease status.
- (iii). To determine their respective FcyRIIa and FcyRIIIb genotypes by PCR.

When the data on the above were obtained, they were then analysed further to:

- (i) determine the distribution of the FcyRIIa and FcyRIIIb genotypes within the different ethnic groups,
- (ii) determine if there is any association between the FcyRIIa and FcyRIIIb genotypes and ethnic origin,
- (iii) find out if there is any association between FcyRIIa and FcyRIIIb and the disease status of the patients,
- (iv) compare the genotype frequencies of the patients with those of the controls.

#### 1.2 LITERATURE REVIEW

#### 1.2.1 Immnoglobulins

Immunoglobulins (Ig), also called antibodies are a group of serum molecules produced by B lymphocytes. Immunoglobulin proteins are the critical ingredients at every stage of a humoral immune response. When expressed on the surface of resting B-lymphocytes, they serve as receptors that can detect and distinguish among the vast array of potential antigens present in the environment (Benjamini, 1996). The two hallmarks of immunoglobulins as antigen binding proteins are the specificity of each for a particular antigenic structure and their diversity as a group. In addition to antigen binding, immunoglobulins possess secondary biologic activities that are critical for host defence (Goodman and Parslow, 1994).

Based upon the structure of their heavy chain constant region, there are five classes of immunoglobulins: IgA, IgD, IgE, IgG and IgM with their heavy chains designated as  $\alpha$ ,  $\delta$ ,  $\epsilon$ ,  $\gamma$  and  $\mu$ , respectively. All antibodies have basically the same structure, but they are diverse in the region that binds to the antigen. They also differ in amino acid composition, making them different in size and charge (Roitt, 1988).

In addition to differences between classes, the immunoglobulins within each class are also very heterogeneous. For example, there are four subclasses of human IgG (namely IgG1, IgG2, IgG3 and IgG4). There are also known to be subclasses of human IgA (IgA1 and IgA2), but none have been described for IgD, IgM and IgE (Silverton et al., 1977).

Each immunoglobulin is bifunctional (Parslow, 1994). One region of the molecule is concerned with binding to antigen while a different region mediates so-called

effector functions. These include binding of the immunoglobulin to host tissues, including various cells of the immune system, some phagocytic cells, and the first component (C1q) of the classical complement system (Kuby, 1992).

All immunoglobulins are glycoproteins with the carbohydrate content ranging from 2-3% to 12-14% (Roitt, 1988). The antibody molecule is made up of two identical heavy and light polypeptide chains held together by disulphide bonds. These chains can be separated by reduction of the S-S bonds and acidification. The exposed hinge region is extended in structure due to the high proline content and is therefore susceptible to proteolytic attack; thus the molecule can be digested by papain to yield two identical fragments, each with a single combining site for antigen (Fab; fragment antigen binding), and a third fragment which lacks the ability to bind antigen and is termed the Fc (fragment crystallisable). Pepsin acts at a different point to cleave the Fc portion from the divalent F (ab)<sub>2</sub> (Valentine & Green, 1967). Of all the antibodies, IgG is the major immunoglobulin in normal human serum, accounting for 70-75% of the total immunoglobulin pool (Roitt, 1988).

#### 1.2.1.1 Immunoglobulin G

IgG is the predominant isotype present in blood and extracellular fluid and is primarily responsible for providing systemic immune protection. It consists of a single immunoglobulin molecule with a sedimentation coefficient of 7S and a molecular weight of 146 kDa (Burton & Woof, 1992). The IgG class that is distributed evenly between the intravascular and extravascular pools is the major antibody of secondary immune responses and the exclusive antitoxin class. Through its ability to cross the placenta, IgG provides a major line of defence against infection for the first few weeks of a baby's life which may be further reinforced by the

transfer of colostral IgG across the gut mucosa in the neonate through breast-feeding (Silverton, 1977).

### 1.2.2 Fc Receptors

Many cell types that cannot synthesize immunoglobulins are able to adsorb circulating antibodies by way of the Fc receptors (Alazari et al., 1988). These receptors, found on the surface of leucocytes, are so called because they interact with the Fc portion of the heavy chain of immunoglobulins (Davis and Metzger, 1983).

The physiologic functions of Fc receptors differ among cell types. Fc receptors facilitate phagocytosis of antibody-coated particles through the phenomenon of opsonization, and are important for triggering chemotaxis and degranulation in neutrophils and other phagocytes. Binding of IgG molecules onto these Fc receptors on macrophages or natural killer cells serves to arm these cells to carry out Antibody dependent cellular cytitoxicity (ADCC) (Goodman and Parslow, 1994).

## 1.2.2.1 Receptors for Immunoglobulin G (IgG)

Receptors for IgG (FcγR), a subgroup within the larger group of FcR, were shown to belong to the Ig supergene family (Van de Winkel & Anderson, 1991). Three major classes of leukocyte FcγR are currently recognized, all of which contain several members.

Within an individual, Fc $\gamma$  receptor diversity may be related to differences in primary amino acid structure, variation between specific cell types or the association of different molecules during receptor assembly (Kimberly *et al*, 1995). In humans there are eight distinct Fc $\gamma$  receptor genes, with multiple exons, with the result that

alternative splicing can generate distinct protein products. All three Fcy receptor families share similar though non-identical extracellular (EC) domains, comprising a common structural motif of two or three immunoglobulin-like disulphide linked domains, dictating their ligand-binding characteristics (Ravetch & Anderson, 1990).

### 1.2.2.2 General characteristics of human FcyR

Most Fc $\gamma$ R exist as hetero-oligomeric complexes and as such, belong to the family of multichain immune recognition receptors. The ligand binding- $\alpha$ -chains can associate with a number of signalling components. The three FcR signalling chains and the  $\alpha$ -chain of Fc $\gamma$ RIIa bear a unique 26-amino acid immuno-receptor tyrosine-based activation motif in their cytoplasmic domain (Rascu *et al.*, 1997).

FcγRI (CD64) is a 72-kDa glycoprotein with high affinity receptor for IgG. The receptor is heavily glycosylated and has a core protein, revealed after removal of N-linked carbohydrates with a MW of 55kDa (Peltz et al., 1988). This group of receptors are constitutively expressed on monocytes, macrophages, myeloid progenitor cells, dendritic cells, and are also inducible on neutrophils by interferon-γ (IFN-γ) or granulocyte colony-stimulating factor (Guyre et al., 1983; Repp et al., 1991).

Three homologous genes, FcyRIA, FcyRIB and FcyRIC, encode members of the Class-I FcyR and are localized on chromosome 1. FcyRIa and FcyRIb2 are transmembrane molecules with their extracellular regions composed of three (FcyRIa) or two (FcyRIb2) Ig-like domains, respectively. The human (h)FcyRI gene is composed of six exons, two exons encoding the signal peptide, one exon for each

of the Ig-like domains, and a single transmembrane /cytoplasmic region exon (Van de Winkel et al., 1990).

Human (h) FcγRI is the only receptor class that binds monomeric Ig with high affinity. It shows specificity for three subclasses, hIgG1, hIgG3 and hIgG4 in order of decreasing affinity, and interacts with mouse (m) IgG2a and mIgG3, as well as rat IgG2b antibodies (Anderson & Abraham, 1980).

A family of 40-kDa FcyRII (CD32) molecules represent the most broadly distributed Fcy receptor class. FcyRII members are expressed on most types of blood leukocytes, Langerhans' cells, different population of endothelial cells, dendritic cells, macrophages and platelets. Three genes, FcyRIIA, FcyRIIB, and FcyRIIC, located on chromosome 1 encode six isoforms (FcyRIIa1, IIa2, IIb1, IIb2, IIb3 and IIc), which differ profoundly in their cytoplasmic tails (Qiu et al., 1990). The hFcyRIIA and B gene products differ in both their signal peptides and cytoplasmic domains. The hFcyRIIC gene contains a cytoplasmic region similar to hFcyRIIA, and a signal peptide that is closely related to that of the hFcyRIIB gene (Brooks et al., 1989). Analysis of the amino acid sequences from cDNAs of the multiple isolated receptor isoforms indicates that the proteins of this receptor class have a similar extracellular region of about 180 amino acids that contain two Ig-like domains, a 27 to 29 amino acid transmembrane domain and a cytoplasmic domain that varies in length from 44 to 76 amino acids (; Hibbs et al., 1988; Brooks et al., 1989 and Seki, 1989). FcyRII is a low affinity receptor, interacting only with complexed or aggregated IgG and is the sole FcyR class that can bind hIgG2 (Kimberly, 1995).

FcγRIII (CD16) is a glycoprotein with a molecular weight ranging from 50 to 80 kDa. Two different genes have been identified, FcγRIIIA and B, located on chromosome 1 within 200 kb from the FcγRII genes (Van de Winkel & Anderson, 1991). The products of both genes encode proteins with an extracellular region of 190 amino acids containing Ig-like domains. The most remarkable difference between the two subclasses is that hFcγRIIIa is a transmembrane receptor (with a 25 amino acid cytoplasmic region) and hFcγRIIIb is coupled to the outer leaflet of the plasma membrane via a glycosylphosphatidylinositol (GPI) moiety (Huizinga *et al.*, 1988; Selvaraj *et al.*, 1989). The two genes are highly homologous and differ most critically at amino acid position 203, where a serine in hFcγRIIIb determines the GPI-linked molecule, whereas a phenylalanine in hFcγRIIIb specifies preservation of the transmembrane and cytoplasmic domains to generate an integral membrane glycoprotein (Kurosaki & Ravtech, 1989; Lanier *et al.*, 1989).

FcyRIIIa is present on (NK) cells, macrophages, subpopulations of T cells and freshly isolated blood monocytes, immature thymocytes, and placental trophoblasts and binds IgG with medium affinity. FcyRIIIb is selectively expressed on neutrophils and is a low affinity receptor for IgG. Both isoforms effectively bind hIgG1 and hIgG3 and interact with mouse IgG (Rascu *et al.*, 1997).

Besides membrane bound forms of Fc $\gamma$ R, much evidence also suggests the existence of soluble receptors. H uizinga et al. (1990), have shown that normal human sera contain a high amount of soluble hFc $\gamma$ RIII receptor, most likely from neutrophils. This receptor seems to be released from PMN by a proteolytic mechanism. Another

way of generating these soluble receptors might be through alternative splicing. Warmerdam *et al.* (1990), have described a hFcγRII form that lacks the transmembrane region and presumably encodes a soluble molecule.

#### 1.2.2.3 Polymorphisms of FcyR

Another form of variation in the structure of immunoglobulins is allotypy. It is based on genetic differences between individuals and depends on the existence of allelic forms (allotypes) of the same protein as a result of the presence of a different amino acid of the same gene at a given locus. As a result of allotypy, a particular constituent of any immunoglobulin can be present in some members of a species and absent in others (Alazari *et al.*, 1988). This situation contrasts with that of immunoglobulin classes which are present in all members of a species.

Allotypic differences at known loci usually involve changes in only one or two amino acids in the constant region of a chain. With a few exceptions, the presence of allotypic differences in two identical immunoglobulin molecules does not generally affect binding with antigen but it serves as an important marker for analysis of Mendelian inheritance (Goodman and Parslow, 1994).

FcγRIa is not polymorphic, although a single family has been identified in Belgium, lacking phagocyte expression of FcγRIa (Ceuppens *et al.*, 1988). This absence was recently linked to a single nucleotide difference between the wild type FcγRIa and the Belgium family members lacking this receptor. Interestingly, in spite of the absence of FcγRIa, the four members of this family were apparently healthy, lacking evidence for any autoimmune, infectious, or inflammatory disease.

A well-studied polymorphism represents allelic variation within the myeloid FcγRIIa (CD32). A point mutation, G into A, results in an arginine (R131) or histidine (H131) at amino acid position 131 in the second Ig-like domain of FcγRIIa (Warmerdam *et al.*, 1990). This variation has been shown to be critical for the binding of hIgG2 and hIgG3, as well as mIgG1 and rat IgG2b (Warmerdam *et al.*, 1991; Parren *et al.*, 1992). An independent polymorphic amino acid is located at position 27 (either glutamine or tryptophan) which is not known to be relevant to the ligand binding of FcγR (Warmerdam, 1990).

This genetically determined polymorphism of hFcγRII was originally discovered in studies on the interaction between human monocytes and mIgG1 antibodies stemming from analysis of anti-CD3-induced T-cell proliferation. Monocytes from 70% of Caucasian individuals (high responders, HR) supported the T-cell mitogenesis induced by mIgG1 anti-CD3 mAb, whereas 30% did not (low responders, LR) (Tax *et al.*, 1983). These findings were confirmed in other functional assays such as EA-rosetting, phagocytosis and ADCC of mIgG1-opsonized targets, binding of mIgG1 aggregates, mIgG1-induced release of tumour necrosis factor-α (TNF-α), and adherence of PMN to mIgG1-coated endothelial cells (Van de Winkel & Anderson, 1991).

The relative predominance of hFc $\gamma$ RIIa transcripts in three cell types, monocytes, macrophages, and neutrophils, that express this polymorphism suggests that, of the three Fc $\gamma$ RII genes, only hFc $\gamma$ RIIA bears the allotypic polymorphism. The observation that B cells from all donors uniformly bind mAb 41H16 and express

only h FcyRIIb supports this hypothesis (Gosselin *et al.*, 1990). Information about the *in vivo* relevance of this polymorphism is scant. The FcyRIIb subclass has also been shown to exhibit genetic heterogeneity based on a single amino acid difference at position 11 in the cytoplasmic region, where a tyrosine is substituted by an aspartic acid (Warmerdam *et al.*, 1993).

A second type of heterogeneity of FcyRII has been described on human platelets. A stable variation in expression of numbers of hFcyRII on platelets from different individuals was found, which correlated with intensity of the platelet release reaction and platelet aggregation in response to model immune complexes. These workers speculate that this variation in quantitative expression may be associated with susceptibility to certain immune complex disease (Rosenfeld *et al.*, 1987).

The FcγRIIa-H131 allotype has been shown to effectively bind hIgG2 dimers, hIgG2 anti-CD3 antibodies and hIgG2-opsonized bacteria, in contrast to the FcγRIIa-R131-expressing cells (Salmon *et al.*, 1992). Similarly, rat IgG2b-opsonized red blood cells and rat IgG2b anti-CD3 mAb were much more effectively bound by FcγRIIa-H131-expressing cells.

The third FcyR class bears a structural polymorphism known as the NA system. Represented only on hFcyRIIIb expressed by neutrophils, it is involved in autoimmune diseases and transfusion reactions. This polymorphism is reflected in different molecular weights of the deglycosylated FcyR on SDS-PAGE. Human (h) FcyRIIIb from NA1/NA1 donors has a MW of 29kDa; that of NA2/NA2 donors has an MW of 33kDa, and heterozygous donors express both. The sequences of the

cDNA of these allotypic forms reveal minor amino acids differences between the NA1 and NA2 forms of hFcqRIIIb (Ory et al., 1989; Ravtech & Perussia, 1989). Changes in amino acids at positions 63 and 82 lead to two extra glycosylation sites (six instead of four) in the NA2 form resulting in different electrophoretic mobilities of the two allotypes (Huizinga et al., 1990; Ory et al., 1989). PMN from FcqRIIIb-NA2 individuals were consistently found to exhibit lower levels of phagocytosis of erythrocytes either sensitised with hIgG1 and IgG3 anti-Rhesus D m Ab or treated with concanavalin A compared to FcqRIIIb-NA1-PMN (Salmon et al., 1990; Bredius et al., 1994). F urthermore, p hagocytosis of h IgG1-opsonized bacteria by FcqRIIIb-NA2-PMN was also reduced in comparison to FcqRIIIb-NA1-PMN, whereas no difference was found using hIgG2-opsonized bacteria (Bredius et al., 1994).

Recently a triallelic polymorphism of FcγRIIIa has been identified, based on a single nucleotide difference (position 230), leading to a leucine, histidine, or arginine at position 48 in the first IgG—binding characteristics between the three FcγRIIIa allotypes (De Haas *et al.*, 1996).

Another polymorphism of FcyRIII was described by R avtech and P erussia (1989), where a nucleotide substitution at position 559 of FcyRIIIa predicts either a valine or a phenylalanine at amino acid position 158 of protein product. The third type of interindividual variation in FcyRIIIa represents an expression difference reported by Vance et al. (1993). Individuals were identified with either a high or low level of CD 16 expression on NK cells, supportive of a polymorphism. Functional differences were furthermore noted in NK-cell ADCC activity and binding of

monomeric hIgG. However a genetic basis for this heterogeneity remains to be established.

Two groups have independently identified individuals who lacked expression of hFcyRIIIb. Clark et al. (1990), identified a patient with systemic lupus erythematosus (SLE) with no FcyRIII on her PMN. Huizinga et al. (1990), studied two healthy individuals both of whom lacked hFcyRIIIb. In both studies the defect seemed attributable to either a grossly disorganized, or a completely absent gene. In the latter study the two deficient individuals were healthy and had no signs of circulating immune complexes or increased susceptibility to infections. These observations raise questions about the *in vivo* relevance of this receptor class and support the notion that the Fcy receptor class are structurally redundant.

## 1.2.2.4 Ethnic Variation in Frequency of Allelic Polymorphism of Human FcyR

The frequency of these allotypic polymorphisms varies among ethnic groups. The percentage of individuals with cells responsive to mIgG1 in the T cell mitogenic assay differs greatly among genetically diverse populations (Abo et al., 1984). East Asians (Japanese, Chinese, Indians) are more frequently of the mIgG1 low responder phenotype as compared to a caucasian group. Such variation may, in part, explain racial differences in the susceptibility to certain diseases such as those caused by Haemophilus influenzae and Neisseria (Nagata et al., 1989; Musser et al., 1990; Figueroa & Densen, 1991).

Osborne et al. (1994), showed that the frequency in these ethnic groups of the high and low responder mIgG1 phenotype correlates directly with the R/H131 polymorphism and therefore with the capacity of FcyRII to bind hIgG2 with high

affinity. In healthy Caucasians, the RIIIb-NA2/NA2 phenotype is expressed more often (Lalezari, 1984; Sanders *et al.*, 1994), whereas the RIIIb-NA1/NA1 predominates in Japanese individuals (Ohto & Matsuo, 1989) (Table 1.1). The NA-null gene was assessed to be 0.03 in a French population.

Table 1.1 Phenotypic Distribution of FcyRIIa and FcyRIIIb Allotypes in Different Ethnic Populations

Ethnic		<u>Fcy</u>	RIIa(%)			Fe	γRIIIb(%)		References
Groups	N	R/R	R/H	H/H	N	NA1/NA1	NA1/NA2	NA2/NA2	
Caucasiar	1								
Dutch	123	23	48	29					Sanders et al., 1994
German	187	27	45	28					29
American	35	23	54	23	67	15	45	40	Osborne et al., 1994 &
									Wainstein et al., 1995
French					3377	12	43	45	Fromont et al., 1992
Asians									
Japanese	27	6	33	61	303	42	39	9	Osborne et al., 1994 &
									Ohto & Matsuo, 1989
Chinese	18	6	44	50					Osborne et al., 1994
Indian	16	31	56	13					,,
African- American	100	23	50	27					Salmon et al., 1996

N = Number of individuals assessed

### 1.2.2.5. Clinical Relevance of FcyR Polymorphism

FcγRs are of critical importance in directing the uptake and destruction of viruses, bacteria and a variety of infectious parasites, and are involved in antibody-dependent killing of infected cells expressing viral antigens (Wallace *et al.*, 1995; Van de Winkel and Capel, 1996). FcγRIIa-expressing NK cells isolated from human immunodeficiency virus (HIV)-seropositive individuals have been shown to be coated with anti-HIV antibodies and readily mediate lysis of HIV-infected cells *in vitro*. Furthermore, this ADCC activity correlates inversely with disease progression. The importance of appropriate detection of IgG-opsonized microorganisms by FcγRs on phagocytes is further emphasized by susceptibility for individuals expressing the FcγRIIa-R131 allotype to infections by encapsulated bacteria (Tse *et al.*, 1999).

The F cγRIIa-H131 allotype (as opposed to FcγRIIa-R131) is identified as the only FcγR capable of binding human IgG2, an important isotype in immune defence against encapsulated bacteria (Parren *et al.*, 1992; Bredius *et al.*, 1993). Neutrophils from individuals expressing the FcγRIIa-R131 allotype inefficiently phagocytose human IgG2-coated bacteria, rendering these individuals more susceptible to infection. Allotypic forms of FcγRIIIb (NA1 versus NA2) have also demonstrated differences in the binding and phagocytosis of IgG1- and IgG3-coated particles, which may have clinical relevance with regard to susceptibility to infectious disease. (Salmon *et al.*, 1992).

FcyRs are also important for immune defense to intracellular pathogens such as *Toxoplasma gondii* (Deo *et al.*, 1997). Antibodies specific for *T. gondii* focus the organism to the effector cell by binding to FcyRs, thereby leading to destruction of

the pathogen. B ispecific molecules (BSMs) that focus *T. gondii* to the surface of myeloid effectors (monocytes and neutrophils) mediate destruction of the pathogen regardless of the surface antigen on the effector cell to which they are directed (Erbe *et al.*, 1991). In contrast to phagocytes, NK cells destroy *T. gondii* only upon targeting to FcγRIII, and not other cell-surface markers, identifying FcγRIII on NK cells as primary trigger molecule for *T. gondii* destruction (Erbe *et al.*, 1991). BSMs are now being developed for a variety of microorganisms, including fungi and antibiotic-resistant bacterial strains, to target these p athogens s pecifically to F cγR-expressing cytotoxic effector cells (Deo *et al.*, 1997).

Porges et al. (1994), demonstrated murine IgG anti-neutrophil cytoplasmic antibodies (ANCA), which are associated with Wegener's granulomatosis and systemic vasculitis, to engage and activate human neutrophils via FcγRIIa. The production of reactive oxygen intermediates after incubation with mIgG1 ANCA was significantly increased in individuals expressing the IIa-R/R131 phenotype. These data are in accordance with those of Mulder et al., (1994) who concluded that ANCA—induced activation of neutrophils was FcγRIIa-dependent, and correlated with hIgG3 ANCA titres in patients with Wegener's granulomatosis. However, this increased neutrophil activation in FcγRIIa-R/R131 donors almost certainly reflects the greater avidity of these receptors for murine IgG1 (Clark et al., 1991; Tate et al., 1992; Porges et al., 1994). The situation with human IgG was unclear.

Fc $\gamma$ RIIa receptor allotypes may represent risk factors in ANCA-associated systemic vasculitis, and influence susceptibility or disease manifestations if IgG2 and / or IgG3 are the predominant isotype. Since the Fc $\gamma$ RIIa-H/H131 receptor binds human

IgG3 with greater avidity and also binds IgG2, receptor engagement and antigen recognition by ANCA could lead to an enhanced neutrophil activation and tissue injury, compared to the FcγRIIa-R/R131 receptor. Thus allelic variants of neutrophil FcγR may contribute to disease susceptibility and organ involvement through differential activation by ANCA isotypes (Tse *et al.*, 1999). Tse *et al.* (1999) however found that there was no significant increase of the FcγRIIa-H/H131 allotype amongst patients with ANCA-positive systemic vasculitis, irrespective of ANCA specificity, and also that there was no association between this FcγRIIa allotype and nephritis.

Depending on the ANCA IgG subclass specificity,  $Fc\gamma RIIa$  alleles may thus represent heritable disease risk factors in ANCA-triggered inflammation in patients with Wegener's granulomatosis and systemic vasculitis.

Heparin-induced thrombocytopenia (HIT) is characterized by FcyR-mediated platelet activation in the presence of patient serum and heparin and is diagnosed by evaluating the effect of patient plasma on the aggregation of normal donor platelets. Brandt et al. (1995), showed that platelets from donors bearing the RIIa-H/H131 phenotype were largely unresponsive to plasma from patients with HIT or to murine IgG1 anti-platelet mAb. The frequency of the RIIa-H/H131 allotype was significantly increased in patients with HIT(34.4%) compared nonthrombocytopenic patients (19%), suggesting a pathophysiologic role of the FcyRIIa polymorphism in HIT (Brandt et al., 1995).

FcγRs have been shown to play a significant role in autoimmune disorders, either by meditating destruction of normal cells opsonized with autoantibodies or conversely,

by failing to clear immune complexes (ICs) adequately. For example, inability of FcγR-bearing cells to remove soluble ICs has been proposed to enhance autoimmune conditions such as systemic lupus erythematosus (SLE), where IC deposition in tissues triggers inflammation and tissue destruction, a characteristic type III hypersensitivity reaction (Deo *et al.*, 1997). On the other hand, engagement of functional FcRs on effector cells of the mononuclear phagocyte system triggers the destruction of autologous erythrocytes or platelets in the presence of autoantibodies directed to these cells. This results in autoimmune haemolytic anaemia or idiopathic thrombocytopenia purpura, both of which are autoimmune disorders characteristic of type II hypersensitivity class of inflammation (Deo *et al.*, 1997).

Two SLE patients were identified lacking FcγRIIIb expression, based on either a transport defect of one of the receptor alleles (Enenkel *et al.*, 1991) or an absent / abnormal gene (Clark *et al.*, 1990). It was tempting to speculate that the FcγR polymorphism may underlie the FcγR- mediated phagocytosis described in SLE patients. Indeed, Blasini *et al.* (1993), described an increased proportion (82%) of T cell responders to Leu4 (mIgG1 anti-CD3 mAb), expressing the RIIa-R/R131 or RIIa-R/H131 allotypes, in Venezuelan SLE patients, compared to patients with other autoimmune diseases. Duits *et al.* (1995) found a significant association between the RIIa-R/R131 allotype and lupus nephritis (38% for RIIa-R/R131, 48% for RIIa-R/H131, and 14% for RIIa-H/H131) as compared to either SLE without nephritis (18% RIIa-R/R131, 58% RIIa-R/H131 and 24% RIIa-H/H) or healthy Caucasian donors. No differences were found for FcγRIIIb polymorphism between SLE patients with or without nephritis.

In line with some studies made by Duits et al. (1995), Salmon et al. (1996), also reported a skewed distribution of the RIIa-H/H131 genotype (9%) in African American SLE patients compared to normal donors (30%). These differences were even more striking in a large collection of 214 SLE patients, where 37% expressed the RIIa-R/R131 allotype compared to 23% RIIa-R/R131 homozygotes in normal donors. The highest frequency of the RIIa-R/R131 allotype was observed in SLE patients with nephritis (42% RIIa-R/R131 and 12% RIIa-H/H131).

Due to the similarities in the pathogenesis of SLE and malaria, it may be possible that FcγR polymorphisms are likely to play a major role in an individual's susceptibility to malaria.

### 1.2.3 Malaria: The Disease

Malaria is an infectious disease caused by protozoa of the genus *Plasmodium* which, in sub-Saharan Africa is transmitted by *Anopheles gambiae* mosquitoes. Malaria is a major global health problem posing a threat to up to 40% of the world's population (WHO, 1996). The WHO reports that malaria in sub-Saharan Africa has an intense stable transmission causing 270-480 million clinical cases each year and a ffecting mainly young children.

There are over 120 species of *Plasmodia*, but only four are responsible for malaria in humans with each species causing characteristic symptoms. The four species are *Plasmodium falciparum*, *P. malariae*, *P. ovale* and *P. vivax* and each of these is biologically and morphologically distinct.

In Africa, *P. falciparum* predominates, as it does also in Papua New Guinea and Haiti, and it is responsible for all lethal forms of malaria, whereas *P. vivax* is more common in Central and parts of South America, North Africa, the Middle East and the Indian subcontinent. The prevalence of both species is approximately equal in other parts of South America, East Asia and Oceania. *Plasmodium vivax* is rare in sub-Saharan Africa, whereas *P. ovale* is rare outside West Africa. *Plasmodium. malariae* is found in most areas, but is relatively uncommon outside Africa (White, 1996).

The endemicity of malaria is defined traditionally in terms of the spleen or parasite rates in children aged between 2 and 9 years (Garnham, 1966). In areas which are holoendemic or hyperendemic for *P. falciparum*, such as much of tropical A frica, people are repeatedly infected throughout their lives (Cattani *et al.*, 1986). There is considerable morbidity and mortality during childhood. In the Gambia, where people are infected once each year on average, malaria has been estimated to cause 25% of deaths between 1 and 4 years of age, but eventually if the child survives, a state of premunition is achieved where infections cause little or no problems to the host (White, 1996). Non-immune adults entering an area of intense transmission acquire premunition more rapidly than children.

Babies develop severe malaria relatively infrequently (although if they do, mortality is high). The factors responsible for this include passive transfer of maternal immunity (McGregor, 1984), and the high haemoglobin F content of the infants' erythrocytes which retards parasite growth (Pasvol *et al.*, 1977). In holoendemic areas, the baby is inoculated repeatedly with sporozoites during the first year of life, but the blood stage infection is seldom severe.

The pathophysiology of malaria results from destruction of erythrocytes, the liberation of parasite and erythrocyte material into the circulation, and the host's reaction to these events. Plasmodium falciparum malaria-infected erythrocytes also sequester in the microcirculation of vital organs, interfering with microcirculatory flow and host tissue metabolism (Ponnudurai et al., 1991).

Malaria can be uncomplicated or severe. Uncomplicated malaria is defined as malaria in the absence of the features of severe malaria (WHO, 1990). It is the most common presentation of malaria. Clinical features in children include fever, headache, anaemia, vomiting and watery diarrhoea, tachypnoea, cough and febrile convulsions. Uncomplicated malaria is not immediately life threatening, but must be treated promptly because it can rapidly lead to severe anaemia.

Severe malaria is defined as asexual with one or more of the following complications; coma (cerebral malaria), respiratory distress, hypoglycaemia and severe anaemia. These are the most common causes of death due to malaria in children. There can also be repeated generalized convulsions, metabolic acidosis and shock. Other features of severe malaria in children are impaired consciousness other than coma, prostration or extreme weakness, hyperparasitaemia, jaundice and a rectal temperature above 40°C (White, 1996). In adults, additional defining features of severe malaria are acute renal failure, pulmonary oedema including adult respiratory distress syndrome (ARDS), spontaneous bleeding and haemoglobinuria (black water fever). These features are less common in children (WHO, 1990).

#### 1.2.3.1 Cerebral Malaria

This may be defined as unrousable coma, i.e. there is a non-purposeful or no response to a painful stimulus in falciparum malaria (Molyneux et al., 1989). Although cerebral malaria is the most predominant feature of severe falciparum malaria, some patients with ultimately lethal infections never lose consciousness until they die. The onset of coma may be sudden, often following a generalized seizure, or gradual, with initial drowsiness, confusion, disorientation, delirium or agitation, followed by unconsciousness. The length of the prodromal history is usually several days in adults, but in children can be as short as 6-12 hours. The depth of coma is classified by a modification of the Blantyre coma scale (Table 1.2) (WHO, 1990).

Table 1.2. Blantyre coma scale for assessment of consciousness in young children

Best Motor Response	Score
Localizes painful stimulus	2
Withdraws limb from painful stimulus	1
No response	0
Best Verbal Response	
Cries or speaks appropriately with painful stimulus	2
Moan or abnormal cry with painful stimulus	1
No vocal response to painful stimulus	0
Eye Movement	
Watches or follows	1
Fails to watch or follow	0
Total Score	0-5

Untreated cerebral malaria is probably uniformly fatal. The overall mortality of treated cerebral malaria obviously depends on the referral practices and medical facilities available, but in reported studies averages 15% in children and 20% in adults, but up to 50% in pregnancy (White & Ho, 1992).

The occurrence of cerebral malaria appears to have a direct relationship to parasite levels in blood, with better nourished children more severely affected (Hendrickse et al., 1971). Cerebral malaria is not uncommon in Accra, Ghana. Mortality associated with cerebral malaria has been claimed to be as high as 10-20% even with the best of care (Nkrumah, 1977; Commey et al., 1980). Over the past decade, workers in Accra, Ghana have noted and reported a considerable increase in the incidence of cerebral malaria, especially among older children (Commey et al., 1980).

Presumably the metabolic milieu created adjacent to the sequestered and highly metabolically active parasites interferes with neurotransmission but how this occurs is not known. Cytokines increase production of nitric oxide, a potent inhibitor of neurotransmission, by leucocytes, smooth muscle cells, microglia and vascular endothelium through induction of the enzyme nitric oxide synthase. Local synthesis of nitric oxide could well be relevant to the impairment of consciousness. Coma in malaria is not caused by raised intracranial pressure (White, 1996).

### 1.2.3.2 Anaemia in malaria

The degree of anaemia and the rate at which it develops vary enormously. The haemoglobin concentration may fall by up to 2 g/dl each day (Mabey et al., 1987). Some patients appear to tolerate severe malarial anaemia relatively well. These patients usually have an underlying chronic anaemia, and have adapted to increased oxygen carriage. Thus it is both the absolute haemoglobin concentration and the magnitude of the fall that determine the clinical consequences (Lee et al., 1989).

The pathogenesis of anaemia is multifactorial (Zuckerman, 1966; Perrin et al., 1982). There is obligatory destruction of red cells containing parasites at merogony. There is also accelerated destruction of non-parasitized cells that parallels disease severity (Davis et al., 1990; Looareesuwan et al., 1991). In severe malaria, anaemia develops rapidly; as there is also the rapid haemolysis of unparasitized red cells contributing to the decline in haematocrit (Looareesuwan et al., 1987).

The role of antibody in anaemia is unresolved (Facer et al., 1979; Abdallah et al., 1980; Merry et al., 1986). The majority of studies to date do not show increased red cell immunoglobulin binding in malaria, but in the presence of a lowered recognition threshold for splenic clearance, this might be difficult to detect. Red cell survival is shortened in malaria and this is affected by corticosteroids (Charoenlarp et al., 1979).

#### 1.2.4 Immune Response

The precise mechanisms controlling malaria infections are still incompletely understood. It was apparent from the era of malaria therapy, that a strain-specific immunity developed to protect against re-challenge with the same strain, did not protect from infection with a different strain (Jeffery, 1966).

Immunity, as distinct from premunition, may be reached when there has been exposure to all local strains of malaria parasites. In controlling acute infection, non-specific host defence mechanisms and the development of more specific cell-mediated and humoral responses are both important.

infections Acute malaria are associated with malaria antigen-specific unresponsiveness. This selective paresis is one of the factors contributing to the slow development of an effective and specific immune response to malaria. In nonimmune individuals, the acute immune response to infection often comprises mostly IgM and IgG2 isotypes which are unable to harm cytotoxic cells and thus kill asexual malaria parasites (Bouharoun-Tayoun & Druilhe, 1992). These observations have led to the suggestion that malaria induces an immunological 'smokescreen' with broad-spectrum and non-specific activation that interferes with the orderly development of a specific cellular immune response (Ho & Webster, 1990).

Following an infection, there is a transient humoral response to sporozoite antigens; sporozoites antibodies decline, with a half-life of 3-4 weeks (Webster *et al.*, 1988). In areas of high transmission, sporozoite antibody levels tend to plateau between 20 and 30 years of age, and do not correlate with premunition.

Protective antibodies inhibit parasite expansion through co-operation with the monocyte-macrophage series by binding to parasitized erythrocytes and then activating these cells' Fc receptors (Bouharoun-Tayoun et al., 1990). Non-specific effector mechanisms include the activation of phagocytic cells (including neutrophils) to release toxic oxygen species and nitric oxide, both of which are parasiticidal. The reaction of these oxygen intermediates with lipoproteins produces lipid peroxides (Rockett et al., 1988). These are more stable cytotoxic molecules and are unaffected by antioxidants. There is also augmentation of splenic clearance function in which both filtration (Looareesuwan et al., 1987), and Fc receptor-mediated phagocytosis are increased (Lee et al., 1989; Ho et al., 1990).

Infected erythrocytes are more rigid and more opsonized than uninfected red cells that express both host and parasite-derived neoantigens on the erythrocyte surface. However, the parasite proteins expressed on the red cell surface undergo antigenic variation (Marsh & Howard, 1986; Hommel & Semoff, 1988), and this is probably instrumental in avoiding complete immune clearance and sustaining the infection. The monocyte-macrophage series appear to be the most immune effector cells in the direct attack on parasitized erythrocytes and merozoites, although neutrophils may also play a part.

IgG diffuses more readily than the other immunoglobulins into the extravascular body spaces where, as the predominant species, it carries the major burden of neutralizing bacterial toxins and binding to micro-organisms to enhance their phagocytosis (Benjamini, 1996). The complexes of bacteria with IgG antibody activate complement thereby chemotactically attracting polymorphonuclear phagocytic cells (PMNs), which adhere to the bacteria through surface receptors for complement and the Fc portion of the IgG (Fcγ). Binding to the Fc receptor then stimulates ingestion of micro-organisms through phagocytosis. In a similar way, the extracellular killing of target cells coated with IgG antibody is mediated largely through recognition of the surface Fcγ by NK cells bearing the appropriate receptors (Cresswell, 1987).

The interaction of IgG complexes with platelet Fc receptors presumably leads to aggregation and vaso active amine release, but the physiological significance of Fcy binding sites on other cell types, particularly lymphocytes, has not yet been clarified (Roitt, 1988).

### 1.2.5 Methods for FcyR Genotyping and Phenotyping

### 1.2.5.1 Immunological Methods

Neutrophil-specific antigens, NA1 and NA2 can be typed by leucoagglutination with a panel of anti-NA1 and anti-NA2 allosera (Huizinga *et al.*, 1990). Whereas the cross linking of multivalent protein antigens by antibodies leads to precipitation, cross-linking of cells or large particles by antibodies directed against surface antigens lead to agglutination.

Neutrophil-specific antigens NA1 and NA2 can also be determined serologically by radioimmunoassay (RIA) with Murine Antibodies CLB-FcR gran I, CLB-gran II and GRMI (Edberg *et al.*, 1990). Using sera from donors known to be FcγRIIIb-NA1/NA1 positive, the binding of CLB gran II relative to a standard is determined and set as 100% relative binding. Phenotyping can also be done by flow cytometry using CD16 MAb ID3, which selectively reacts with FcγRIII (Perussia and Ravtech, 1991), MAb CLB gran II which specifically recognizes FcγRIIIb-NA1 (Werner *et al.*, 1986; Huizinga *et al.*, 1989), and MAb GRMI, which detects FcγRIIIb-NA2 (Edberg *et al.*, 1990).

Determination of the H131 / R131 alleles can be done by quatitative flow cytometry using CD32 MAb IV.3, which reacts with both FcyRIIa-H131 and –R131 (Looney *et al.*, 1986) and MAb 41H 16, which reacts selectively with FcyRIIa-R131 (Gosselin *et al.*, 1990)

The FcyRIIa phenotype of cells from different donors can be analysed by comparing the potency of mIgG1 and hIgG2 blood. Three groups of donors can be discriminated: two groups with PBMC only responsive to either mIgG1 or hIgG2 anti-CD3MAb, and one group with a comparable reactivity to both anti-CD3 MAb, indicating homozygous FcyRIIa-R131, homozygous anti-CD3-MAb induced Tcell proliferation in PBMC isolated from citrated FcyRIIa-H131, and heterozygous FcyRIIa-R/H131 individuals, respectively (Bredius *et al.*, 1993).

#### 1.2.5.2 Molecular Methods

Polymerase Chain Reaction (PCR) amplification is used to distinguish between the FcγRIIa- H131 and R131 and FcγRIIIb-NA1 and NA2 genotypes (De H aas *et a l.*, 1995; Osborne *et al.*, 1994).

PCR is an *in vitro* method for nucleic acid synthesis by which a target DNA is exponentially replicated (Saiki *et al.*, 1985; Mullis *et al.*, 1986). It uses a thermostable DNA polymerase isolated from *Thermus acquaticus*, *Taq* polymerase and two oligonucleotide primers which flank the target DNA sequence to be amplified. The reaction involves repeated cycles of heat denaturation of DNA, annealing of primers to their complementary sequences at a lower temperature, and extension of the annealed primers with the polymerase. The primers hybridise to the opposite strands of the target DNA and are oriented (3' ends pointing towards each other) so that DNA synthesis by the polymerase proceeds across the region between the primers. The extension products are complementary to and capable of binding primers, therefore successive cycles of amplification result in the doubling of the target DNA synthesized in the previous cycle.

Polymerase Chain Reaction uses equimolar concentrations of the two primers. These primers are usually designed to hybridise to conserved regions of the genome. Primers are also designed such that the annealing temperatures in the PCR reaction are as high as possible to ensure that they are specific during amplification (Thein & Wallace, 1986). Under standard conditions the annealing temperature in a reaction should be 5°C lower than the melting temperature (Tm) of the primers and this is determined using the following formula (Thein & Wallace, 1986);

$$Tm = [4 (G+C) + 2 (A+T)]$$

where G, C, A, and T are guanine, cytosine, adenine and thymine, respectively. The standard PCR amplification protocol amplifies most target DNA, however optimal performance is sought by varying most parameters and conditions for each new amplification (Innis & Gelfand, 1990)

The standard reaction mix contains 1X PCR reaction buffer, 200μM each of deoxyribonucleotides (dATP, dCTP, dGTP, dTTP), 2.5 units of *Taq* DNA polymerase, 0.5μM each of the forward and reverse primers, and 1ng-1μg of template DNA. The temperature cycling is performed using a programmable thermal cycler, programmed to carry out the repeated cycling of denaturation at between 94°C and 96°C, primer annealing at a range of 58°C - 60°C and primer extension at 72°C.

The sizes of PCR products are estimated by comparison with the mobility of standards of known molecular weights on agarose gel. In principle, the mobility of a DNA molecule is related to its size (Sambrook *et al*, 1989). The larger the molecule, the lower the rate of migration. The rate of migration of DNA molecules on agarose gels is inversely proportional to the logarithms of the molecular weights as expressed below:

$$D=a-b\ (\log M)$$

where D is the distance moved by the DNA molecule on the agarose gel, M is the molecular weight of the DNA and a and b are constants.

PCR method for identification of the FcγRIIa allotypes is done by first amplifying the FcγRIIa gene using gene –specific primers (Osborne *et al.*, 1994). The sense

primer used is upstream to the polymorphism encoding amino acid 131 in the second

extracellular domain and does not distinguish between the genes for FcyRIIa, b or c.

The antisense primer is located downstream of the polymorphism in the

transmembrane domain and contains nine bases on the 3' end of the primer which is

unique to the FcRIIa gene

FcyRIIa genotyping is accomplished by allele-specific polymerase chain reaction.

Two more allele specific PCR reactions are carried out on the PCR product obtained

from the first round of PCR described above. Both reactions will utilize a common

antisense primer located downstream to the polymorphism, and one of the two allele-

specific primers. The two allele-specific primers were designed with the 3' base

complementary to the allovariable base:

H131-specific: 5'-GAA AAT CCC AGA AAT TCT CCC A-3'

R131-specific: 5'-GAA AAT CCC AGA AAT TCT CCC G-3'.

FcγRIIIb genotyping can also be done using the polymerase chain reaction with

primers designed specifically for the NA1 and NA2 allotypes (De Haas et al., 1995).

Another means by which the FcyRIIa allotypes can be differentiated is by allele

specific oligonucleotide (ASO) hybridisation. This method is an established

technique used to distinguish single or multiple nucleotide polymorphisms (Saiki et

al., 1985).

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# **CHAPTER 2**

# MATERIALS AND METHODS

#### 2.1. CHEMICALS AND REAGENTS

The reagents for PCR, 10X PCR Buffer, magnesium chloride (50mM MgCl2), Taq DNA polymerase, deoxyribonucleoside triphosphates: deoxyadenosine triphosphate (dATP), deoxycytidine triphosphate (dCTP), deoxyguanosine triphosphate (dGTP), deoxythymidine triphosphate (dTTP) and molecular weight marker (123 basepair ladder), were purchased from Sigma Chemical Company, St Louis U.S.A, and GIBCO BRL (Life Technologies U.S.A). Saponin, absolute ethanol, sodium chloride, sodium hydroxide, potassium chloride, sodium citrate, sodium phosphate, silver nitrate, potassium phosphate, mineral oil, chelating resin, bromophenol blue, sucrose, agarose (molecular biology grade) and ficoll, were also purchased from Sigma Chemical Company, St Louis, U.S.A. All the oligonucleotide primers, and cell lines, K562 and U937, which were used as controls, were purchased from Alta Bioscience, University of Birmingham, U.K. Tris (hydroxymethyl) aminomethane, ethylene diamine tetra acetate, (EDTA) disodium salt, acetic acid and ethidium bromide were obtained from Fluka AG Granite Chemika, Switzerland. Orange G was obtained from Kanto Chemical Company Ltd., Japan.

## 2.1.1 Preparation of Buffers and Solutions

The various buffers and solutions used were prepared as described in Appendix I

### 2.2 BLOOD SAMPLE COLLECTION

#### 2.2.1 The study areas

The first part of the work, which involved collecting blood samples from children who were asymptomatic for malaria to serve as controls, was conducted in Dodowa.

the capital town of the Dangbe West District of Ghana. The Dangbe West District is situated between longitudes 0° 5' E and 0° 20'E and latitudes 5° 40' and 6° 19' N. Dodowa is predominantly a farming community with a population of a bout 6,500 inhabitants (Appawu & Baffoe-Wilmot, 1997). The vegetation in Dodowa can be described as coastal forest, which lies between the coastal savannah to the south and secondary forest areas to the north. A permanent stream with forest gallery along the banks runs through the town. However as a result of deforestation very little of the original forest is left. Rainfall is seasonal with two peaks occurring in June and September (Appawu & Baffoe-Wilmot, 1997). Dodowa is known to be a hypoendemic area for malaria. Although a few cases of *P. malariae* is seen, most of the cases of malaria are due to *P. falciparum*, which is transmitted mostly by *A. gambiae* s.s. (Appawu & Baffoe-Wilmot, 1997).

The second part of the study, which involved screening for children with clinical malaria, was carried out at the Department of Child Health of the Korle-Bu Teaching Hospital, in Ghana. Although people from all walks of life bring their ailing children to the Department of Child Heath, most of the patients seen daily are children from the immediate environs of the hospital, who are usually children of low-income families.

The suburbs around the hospital are not very well planned as far as social infrastructure is concerned. There are no or very poor drainage systems in the areas, no waste disposal systems in place and poor toilet facilities. During the rainy seasons (May- August), very severe falciparum malaria is one of the major diseases reported at the hospital.

### 2.2.1.1 Field Sample Collection

An initial cohort of 250 children aged between 1 and 14 years of age were randomly selected at Dodowa. The subjects were asked for their ethnic background and then examined by a medical officer to establish their health status. Venous blood of the individuals were then taken by a qualified personnel onto Whatman No. 5 filter paper and also onto glass slide. The filter papers were air-dried and preserved individually in plastic bags and stored at -20°C until ready to be used whereas the slides were sent to the laboratory for microscopy studies. Seventy-five of the children were selected to serve as controls based on the health status. This study was carried out over a period of 5 months from February to June 2000.

### 2.2.1.2 Hospital Sample Collection

Patients reporting to the Department of Child Health, Korle Bu Teaching Hospital with malarial—like symptoms, were the subjects used for this study with the approval from the ethics board and informed consent of their parents. A questionnaire was given them to complete (Appendix II).

The patients were first examined by the Medical Officer in charge and thereafter venous blood and urine samples were taken for analysis. The patients presenting malaria-like symptoms were grouped into different clinical categories using the inclusion and exclusion criteria that are stated below in Table 2.1. A medical officer ascertained the clinical status of each patient after the results of the blood and urine analyses had been obtained.

Table 2.1. Inclusion and exclusion criteria used for grouping of patients into different clinical categories.

Clinical category	Inclusion criteria	Exclusion criteria
Cerebral malaria	Parasitaemia	Other neurological disease
(CM)	Blantyre coma scale ≤ 3	Recent severe head trauma
	Duration of coma > 60 mins	Other cause of coma
Severe malarial	Parasitaemia	Blantyre coma scale < 5 at any
anaemia (SA)	Haemoglobin ≤ 5 g/dl	time
		Observed convulsions
		Recent severe bleeding
		Other cause of anaemia
Uncomplicated	Parasitaemia	Blantyre < 5 at any time
malaria (UM)	Haemoglobin ≥ 8 g/dL	Reported or observed convulsions
	Full consciousness	Respiratory distress
		"Coco cola" urine
		Other major organ failure
Respiratory	Parasitaemia	Exclusion of other causes of
distress (RD)	At least one of the following	respiratory distress (e.g.
	Abnormally deep (breathing)	pneumonia)
	Alar flare	
	Use of accessory respiratory	
	muscles e.g supraclavicular,	
	suprastenal	
	Recessions	
	Chest recessions	

### 2.3 Blood Analysis

#### 2.3.1 Parasitaemia

Thin blood films were stained with 5% Geimsa solution and the films were examined by an experienced malaria microscopist using the standard examination protocol of 200 fields per film.

#### 2.3.2 Haemoglobin level Estimation

Whole blood was collected into a vacutainer containing K3EDTA and the haemoglobin level determination was performed using the automated Sysmex blood analyser.

#### 2.3.3 Glucose Estimation

An aliquot of 2ml of Glucose oxidase was mixed with 20µl blood plasma and incubated at room temperature for 25 minutes. The colour obtained was read off a Microlab 2000 analyser to estimate the blood glucose level.

#### 2.3.4 Sickling Status

A drop of venous blood was mixed with a drop of 2% sodium metabisulphite (Na<sub>2</sub>S<sub>2</sub>O<sub>5</sub>) on a slide and covered with a cover-slip. This was then left in a humid chamber for at least 30 minutes and examined under a microscope for the sickle cells or the holy leaf appearance.

#### 2.4 Urinalysis

The urine from the patients was collected in a transparent tube and visually examined to see if the colour was dark as the coca cola drink or not.

### 2.5 Extraction of Human DNA from Filter Paper Blood Blot

Human DNA was isolated from the blood blot on filter paper as described by (Wooden et al., 1993). Briefly a piece of the blood spot was cut out of the filter paper with a sterile blade and placed into a 1.5ml eppendorf tube containing 0.5% saponin in 1 X Phosphate Buffered Saline (PBS) solution. This was then incubated overnight at 4°C and thereafter spun at 13 000 rpm using a Kubota1120 microcentrifuge for 1 minute. The supernatant was poured off and the filter paper then washed twice in 1ml of 1 X PBS. The filter paper was transferred into a fresh tube and 150µl sterile double distilled water and 50µl of 20% chelex solution were added and the mixture boiled for 8mins. It was then centrifuged for 5 minutes to pellet the chelex and debris. The supernatant containing the DNA was transferred into a fresh sterile tube and stored at -20°C until ready to use for PCR.

### 2.6. Determination of FcyRIIa Allotypes using Allele-Specific PCR

This method involved two rounds of PCR. The FcγRIIa gene was amplified as described by Osborne *et al.*, (1994), using gene-specific primers. For the initial PCR, 5 μL of extracted DNA were added to a 20μl reaction mixture containing 2mM MgCl<sub>2</sub>, 50mM KCl, 20mM Tris-HCl, pH 8.3, 0.1 mg/ml of gelatin, 200 μM of each of the four deoxyribonucleotides, 0.5μM of each oligonucleotide primer, P52 and P63 (Table 4) and 0.5U of *Taq* DNA polymerase.

The PCR cycling conditions were 1 cycle of 95°C for 3 mins; 60°C for 45 s; 72°C for 4 mins, followed by 35 cycles of 95°C for 1 min; 55°C for 45 s; 72°C for 2 min: and ended with a final cycle of 72°C for 10 min.

Two further PCR reactions which are allele-specific were carried out on the PCR product obtained from the first round of PCR described above. Both reactions utilized a common antisense primer, R2A (Table 2.2) and one of the two allele-specific primers, R2R or R2H (Table 2.2). The PCR reactions were in total volumes of 25μl c ontaining 0.5μl of the PCR p roduct, 0.1μM s ense and antisense primers, 2.75mM MgCl<sub>2</sub>, 50mM KCl, 20mM Tris-HCl (pH 8.3), 0.1mg/mL gelatin, 200μM each of deoxyribonucleotides and 0.5U *Taq* DNA polymerase. The cycling program was set to the following parameters: 95°C for 5 min; 35 cycles of 95°C for 15s; 58°C for 30 s; and 72°C for 30 s, and a final extension step of 72°C for 10 min.

Table 2.2 DNA sequences of oligonucleotide primers used in genotyping

Primer	DNA Sequence (5'-3')	Size	Melting	
		(bp)	Temp (°C)	
P52	CAAGCCTCTGGTCAAGGTC	19	55	
P63	GAAGAGCTGCCCATGCTG	18	57	
R2A	CTAGCAGCTCACCACTCCTC	20	55	
R2H	GAAAATCCCAGAAATTCTCCCA	22	60	
R2R	GAAAATCCCAGAAATTCTCCCG	22	62	
NA1A	CATGGACTTCTAGCTGCACCG	21	61	
NA1S	CAGTGGTTTCACAATGTGAA	20	52	
NA2S	CTCAATGGTACAGCGTGCTT	20	56	
NA2A	CTGTACTCTCCACTGTCGTT	20	49	

### 2.7 Determination of FcyRIIIb Genotypes by PCR

FcγRIIIb genotyping was performed using the PCR amplification of the genomic DNA. The reaction to determine the NA1 gene was done in a 25μL which contained 5μL of extracted DNA, 0.8μM each of the sense and antisense primers, NA1S and NA1A (Table 2.2), 2mM MgCl<sub>2</sub>, 20mM Tris-HCl (pH 8.3), 50mM KCl, 0.1mg/ml gelatin 200μM each of the four deoxyribonucleotides and 0.5U *Taq* DNA polymerase. The mixture was thoroughly mixed and centrifuged briefly at 10 000 rpm in a Kubota 1120 centrifuge.

The temperature profile for this reaction was 95°C for 5 min, followed by 10 cycles of 95°C for 1 min; 60°C for 1.5 min; 72°C for 2.5 min; and then 25 cycles of 95°C for 1 min; 57°C for 1 min; 72°C for 1 min. A 10 min extension at 72°C concluded the reaction.

For the amplification of the FcyRIIIb-NA2 DNA, a reaction mixture containing the following was prepared. 20mM Tris-HCl, 50mM KCl, 0.1mg/ml gelatin, 5µl of extracted DNA, 0.1µM each of the sense and antisense primers, NA2S and NA2A (Table 2.2), 200µM each of the four deoxyribonucleotides and 0.1 U of *Taq* DNA polymerase. The cycling parameters used were 95°C for 5 min, followed by 35 cycles of 95°C for 1 min; 46°C for 1.5 min; 72°C for 2.5 min, and thereafter 72°C for 10 min.

# 2.8 Analysis of PCR Products for Identification and Phenotyping

Following the PCR, the products were electrophoresed on a 1.5% agarose gel and stained with  $0.5\mu g/ml$  ethidium bromide. One micro litre of bromophenol blue (5X)

or Orange G (5X) gel loading dyes was added to five micro litres of each sample. The gel was prepared and run with 1X TAE buffer using either a midi or maxigel system (BIORAD). The gels were run at 80V for one hour, visualised and photographed over a UV transilluminator (UPC, USA), at short wavelength using a Polaroid camera (IBI 46 400) fitted with an orange filter and a Polaroid type 667 film. The sizes of the PCR products were estimated by comparison with the mobility of standards of known DNA molecular sizes.

### 2.9 Statistical Analysis

The statistical softwares used for the analysis were SPSS 10.00 for Windows and Epi Info 6 for Dos. Fc $\gamma$  RIIa allotypes distribution (H/H131, H/R131, R/R131) and allele frequencies (H, R) were analysed by applying the  $\chi^2$  test. To reject the null hypothesis, a probability of 0.05 was used. The Fc $\gamma$ RIIa polymorphism was then analysed as a dichotomous variable comparing the homozygous Fc $\gamma$ RIIa-H/H131 with the homozygous Fc $\gamma$ RIIa-R/R131 and heterozygous Fc $\gamma$ RIIa-H/R131 allotypes using the  $\chi^2$  test in controls (non malarious patients) and malaria subjects presenting with different phases of the disease.

The same analysis was done for the FcγRIIIb genotype distribution (NA1/NA1, NA2/NA2, NA1/NA2 and the null genotype) and allele frequencies (NA1, NA2).

# **CHAPTER 3**

### RESULTS

#### 3.1 STUDY POPULATION

The details of the demographics and PCR data are given in Appendix III

### 3.1.1 Control Group

Seventy-five children out of the 250 randomly selected individuals from Dodowa who were without malaria parasites formed the healthy controls. Out of these 38, (50.7%) were males and 37 (49.3%) were females. The age distribution of the control group is shown in Figure 3.1. Their ages ranged from 1-13 years with a mean of 7.4 (standard deviation =  $\pm$  3.07). The ethnic distributions of these children were as follows: 13 (17.3%) were Northerners, 21 (28%) were Ewes, 30 (40%) were Gas and 11 (14.7%) were Akans

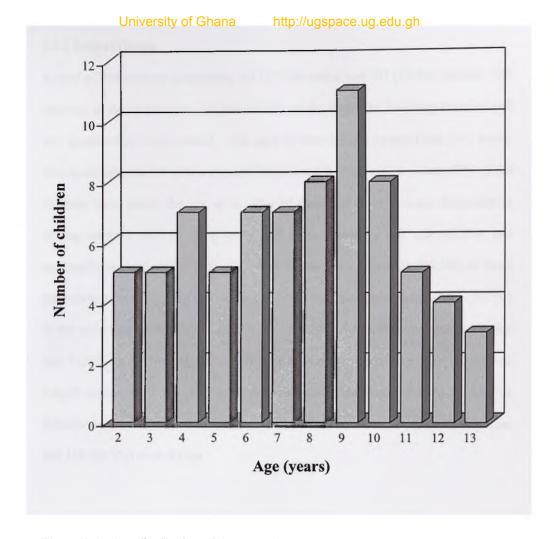


Figure 3.1. Age distribution of the control group

#### 3.1.2 Patient Group

A total of 254 patients comprising 147 (57.9%) males and 107 (49.3%) females who reported at the Department of Child Health of the Korle Bu Teaching Hospital and had parasitaemia were studied. The ages of the children ranged from 1-13 years. The mean age was 3.8 with a standard deviation 2.9 (Figure 3.2). About 77% of the children were below the age of 6. Out of these, 54 (21.3%) were diagnosed as having cerebral malaria, 76 (29.9%) had severe anaemia and 124 (48.8%) had uncomplicated malaria (Figure 3.3). Two hundred and forty-two (95.3%) of these patients were sickling negative, while 12 (4.7%) were positive. Eighty-three (32.7%) of the patients presented with 'coca cola' urine, 39 (15.4%) with respiratory distress and 7 (2.8%) were hypoglycaemic. The mean haemoglobin level of the patients was 6.6g/dl (range: 0.4g/dl) 14.0 g/dl) (Figure 3.4). The ethnic distribution was as follows: 64 (25.2%) were Northerners, 40 (15.7%) were Ewes, 41 (16.1%) were Gas and 109 (42.9%) were Akans.

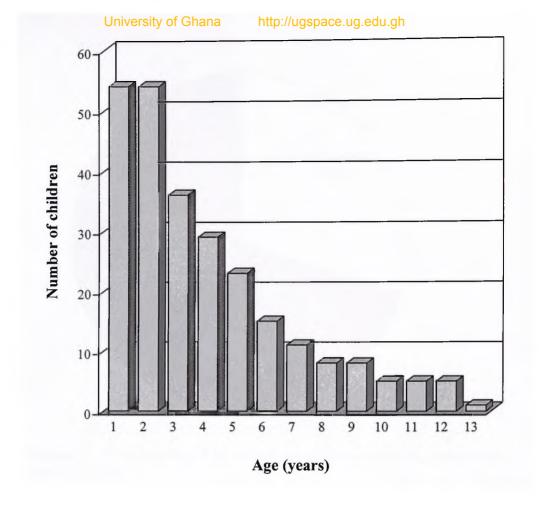


Figure 3.2. Age distribution of malaria patients recruited at the Korle Bu Teaching Hospital.

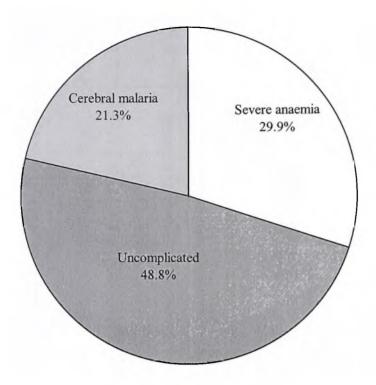


Figure 3.3. The distribution of the different malaria presentations diagnosed among the 254 patients.

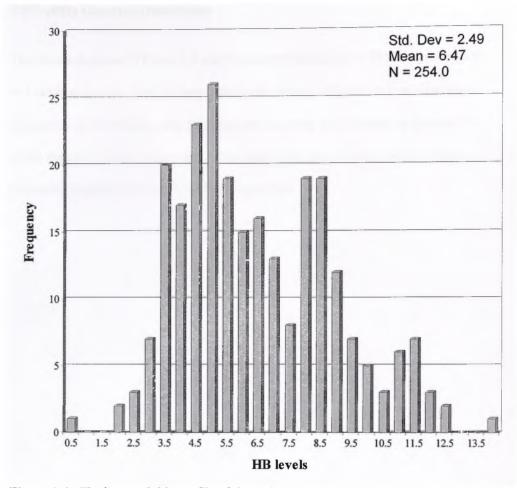


Figure 3.4. The haemoglobin profile of the patient group.

The mean haemoglobin value seen in the patients was 6.5 g/dl. 38% of them recorded Hb levels below 5 g/dl which is the cut off point for severe malaria anaemia.

# 3.2 FcyRIIa Genotype Distribution

The electrophregram in Figure 3.5 shows the amplified region of FcyRIIa gene which is 1 kilobase in size. The 290 base pair bands shown in Figure 3.6 is an example of the portion of the FcyRIIa gene that contains the amino acid histidine at position 131 while Figure 3.7 shows an example of the bands obtained when the polymorphic site containing arginine instead of histidine is amplified.

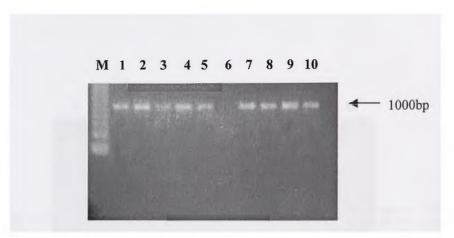


Figure 3.5 An example of electrophoregram of PCR amplification of the human FcyRIIa gene using P52 and P63.

Lane M contains the 123 molecular weight marker,

Lane 6 has the negative control

Lanes 1-5 and 7-10 are PCR products of the FcyRIIa gene.

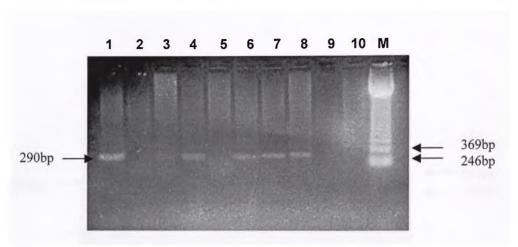
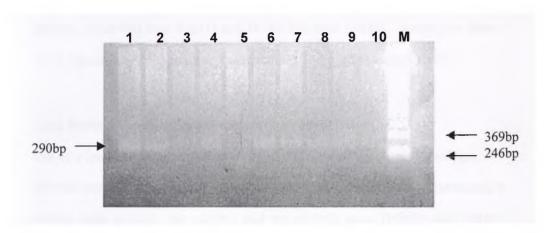


Figure 3.6. An example of electrophoregram of PCR products using primers R2A and R2H that amplifies the region with FcyRIIa polymorphism.

Lane M is the 123 molecular weight marker,

Lanes 2, 9 and 10 indicating the absence of the H allele, can only be typed as R/R131.

Lanes 1,3,4, 6, 7 and 8 have the diagnostic size of 290bp indicating the presence of the H allele and therefore can either be the H/H131 or H/R131 genotype.



Figures 3.7 An example of electrophoregram of PCR amplification using primers R2A and R2R that amplify the polymorphic region of the FcyRIIa gene.

Lane M is the 123 molecular weight marker.

Lanes 1,2, 4, 6,7 and 9 have the expected band size of 290bp, indicating the presence of the R allele, and can therefore be either the R/R131 or H/R131 genotype.

Lanes 3 and 10, indicating the absence of the R allele, can only be H/H131.

#### 3.2.1 FcyRIIa Genotype Distribution in the Control Group

Fifteen (20%) out of the total 75 healthy children were H/H131 genotype, 44 (58.7%) were H/R131 and 16 (21.3%) were R/R131. Among the 13 Northerners in this group, 2 (15.4%) were H/H131, 3 (23.1%) were R/R131 and 8(61.5%) were H/R131 genotype. Five (23.8%) out of 21 Ewes were H/H131, 6 (28.6%) and 10 (47.6%) were R/R131 and H/R131, respectively. Within the Ga population, 6(20%) were H/H131, 5 (16.7%) were R/R131 and 19 (63.3%) were H/R131. Among the Akans, 2 (18.2%) were H/H131, another 2 were R/R131 and 7 (63.6) were H/R131.

#### 3.2.2. FcyRIIa Genotype Distribution in the Patient Group

Out of a total of 254 patients studied, 28 (11%) were of the H/H131 genotype, 147 (57.9%) were H/R131 while 79 (31.1%) were R/R131. Among the 64 Northerners, 5 (7.8%) were H/H131, 40 (62.5%) and 19 (29.7%) were H/R131 and R/R131 respectively. Among the Ewe patients 6 (15%) were H/H131, 25 (62.5%) and 9 (22.5%) were H/R131 and R/R131 respectively. Of the 41 Ga patients, 4 (9.8%) were H/H131, 22 (53.7%) were H/R131 and 15 (36.6%) were R/R131. Of the 109 Akan patients 13 (12%) were H/H131, 60 (55%) were H/R131 and 36 (33%) were R/R131.

# 3.2.3 Overall Relationship between FcyRIIa Genotype and Ethnicity

Out of a total of 329 children recruited for this study, 77 (23.4%) were Northerners, 61 (18.5%) were Ewes, 71 (21.6%) were Gas and 120 (36.5%) were Akans. The genotype distribution found within the different tribes is shown in Table 3.1. On the whole, there was no significant association observed between this genotype and ethnicity ( $\chi^2$ =3.25, P=0.77). Within the control group, statistical analysis revealed no significant association between the different genotypes and ethnic origin

University of Ghana http://ugspace.ug.edu.gh (H/H131, ( $\chi^2$ = 3.751, P=0.29; H/R131, ( $\chi^2$ =2.919, P=0.40; R/R131, ( $\chi^2$ =5.398, P=0.15). Similarly no significant association was found between F cyRIIa genotype and ethnic origin of patients (H/H131, ( $\chi^2$ =1.475, P=0.68; H/R131, ( $\chi^2$ =1.362,

P=0.71; R/R131, ( $\chi^2=2.205$ , P=0.53).

Table 3.1 Overall percentage distribution of the FcγRIIa genotypes within the different ethnic groups

Ethnic Group	FcγRIIa genotypes (%)				
	H/H131	H/R131	R/R131		
Akan	12.5	55.8	31.7		
Ga	14.1	57.7	28.2		
Northerner	9.1	62.3	28.6		
Ewe	18.0	57.4	24.6		

### 3.2.4 Distribution of FcyRIIa Genotype of the Control and Patient Groups

The Fc $\gamma$  RIIa genotypes for the patient and control groups were distributed as shown in Figure 3.8. No significant difference was observed in the overall genotype distribution between the patients and controls ( $\chi^2$  =5.49 P=0.06). However the differences between the Fc $\gamma$ RIIa-R/R131 genotype distribution in the patients and controls was significant ( $\chi^2$  =11.686, P=0.003). No significant differences were observed between the number of children possessing the H/R131 ( $\chi^2$  =12.157, P=0.07) and H/H131 ( $\chi^2$  =0.269, P=0.87) in both the patient and control groups. The genotype distribution within the various ethnic groupings in both the control and patient groups is shown in Table 3.2

Table 3.2 FcγRIIa genotype percentage distribution among the different ethnic groups studied within the control and patient groups

	Fc□IIa genotype (%)			
	H/H131	H/R131	R/R131	
Controls	18.2	63.6	18.2	
Patient	12.0	55.0	33.0	
Controls	20.0	63.3	16.7	
Patient	9.8	53.7	36.6	
Controls	15.4	61.5	23.1	
Patient	7.8	62.5	29.7	
Controls	23.8	47.6	28.6	
Patient	15.0	62.5	22.5	
	Patient Controls Patient Controls Patient Controls	H/H131  Controls 18.2  Patient 12.0  Controls 20.0  Patient 9.8  Controls 15.4  Patient 7.8  Controls 23.8	H/H131 H/R131  Controls 18.2 63.6  Patient 12.0 55.0  Controls 20.0 63.3  Patient 9.8 53.7  Controls 15.4 61.5  Patient 7.8 62.5  Controls 23.8 47.6	

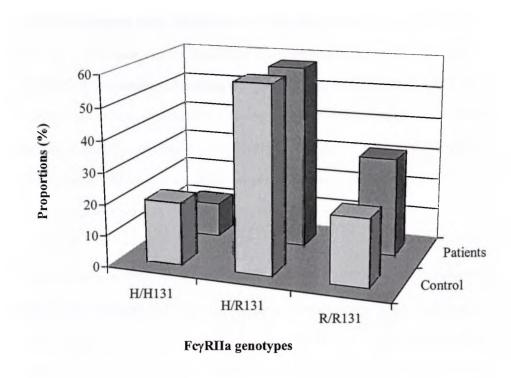


Figure 3.8. Frequency distribution of the Fc $\gamma$ RIIa genotypes within the control and patient groups. In both groups the H/R131 genotype was very dominant forming more than 50% for each group. The percentage of patient group found to be homozygous H/H131 were considerably lower than those in the control group. Conversely there were more homozygous R/R131 in the patient group than in the control group.

#### 3.3 Relationship between FcyRIIa Genotype and Disease Status

The results obtained when the different malaria cases and their FcγRIIa genotype frequencies were analysed for association are illustrated in Figure 3.9. Of the 124 patients with uncomplicated malaria, 18 (14.5%) were H/H131, 80 (64.5%) were H/R131 and 26 (21%) were R/R131. Among the 54 patients diagnosed with cerebral malaria, 3 (5.6%) were found to be H/H131, 35 (64.8%) were H/R131 and 16 (29.6%) were R/R131. For the 76 severe anaemia cases, 7 (9.2%) were H/H131, 32 (42.1%) were H/R131 and 37 (48.7%) were R/R131.

The association between the overall Fc $\gamma$ RIIa genotype distribution and malaria was found to be significant ( $\chi^2$  =25.31, P=0.000) according to this study. While there was no significant association found between uncomplicated malaria and any of the Fc $\gamma$ RIIa genotypes, the association between severe anaemia and Fc $\gamma$ RIIa-R/R131 and Fc $\gamma$ RIIa-H/R131 genotypes was significant; but no association was found between severe anaemia and Fc $\gamma$ RIIa-H/H131. Furthermore, there was significant association found between cerebral malaria and Fc $\gamma$ RIIa-R/R131, but there was no association found with Fc $\gamma$ RIIa-H/R131 and Fc $\gamma$ RIIa-H/H131 genotypes.

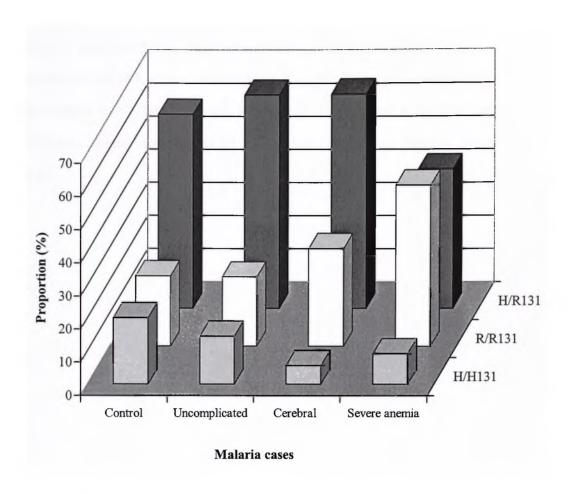


Figure 3.9. Relationship between FcyRIIa and disease status

The differences in the genotype distribution between the controls and patient groups gives an indication that the FcyRIIa-R/R131 puts an individual at risk while the FcyRIIa-H/H131 confers protection against malaria. The H allele in the heterozygote state is seen to offer protection against severe malaria anaemia. This is however not the case in cerebral malaria or uncomplicated malaria.

### 3.4 FcyRIIIb Genotype Distribution

The NA2 primer set amplified a 169bp DNA fragment while the expected size for the NA1 primers is 141bp. An individual testing positive for both NA1 and NA2 primers is typed as NA1/NA2 heterozygous. If an individual tests positive for NA1 but negative for NA2 and vice versa, then that individual is typed as NA1/NA1 and NA2/NA2, respectively. Results for the FcyRIIIb Genotype are shown in Figure 3.10.

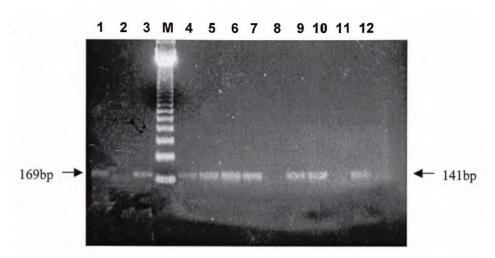


Figure 3.10 An example of electrophoregram of PCR products using primer sets for NA1 and NA2 which amplify the region of the FcyRIIIb polymorphism.

Lane M is 123 molecular weight marker.

Lanes 1 and 3 have the diagnostic size of 169bp indicating the presence of the NA2 allele and can therefore be either NA2/NA2 or NA1/NA2.

Lane 2 indicating the absence of the NA2 allele can only be NA1/NA1 or Null. Lanes 4,5,6,7,9,10 and 12 having the diagnostic size of 141bp indicate the presence of the NA1 allele and can therefore be NA1/NA1 or NA1/NA2.

Lane 11 indicating the absence of the NA1 allele can either be NA2/NA2 or Null. Lane 8 is the negative control.

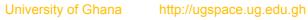
#### 3 4.1 FcyRIIIb Genotype Distribution in the Control Group

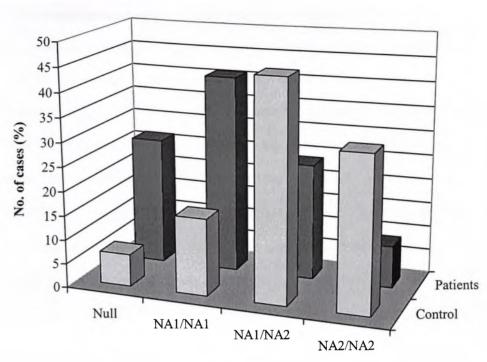
The FcYRIIIb genotype frequency distribution within the control group is shown in Figure 3.11. Of the 75 children screened, 12 (16%) were NA1/NA1, 24 (32%) were NA2/NA2, 34 (45.3%) were NA1/NA2 and 5 (6.7%) possessed neither of these genes and were categorized as 'Null'.

Of the 13 Northerners in this group one (7.7%) was found to be NA1/NA1, 6 (46.2%) were NA2/NA2, 5 (38.5%) were NA1/NA2 and one was Null. Among the 21 Ewes in the group one (4.8%) possessed the NA1/NA1 genotype, 7 (33.3%) were NA2/NA2, 10 (47.6%) were NA1/NA2 and 3 (14.3%) were null. Five (16.7%) of the 30 Gas were NA1/NA1, 9 (30%) were NA2/NA2 and 16 (53.3%) were NA1/NA2. Out of the 11 Akans in this group, 5 (45.5%) were NA1/NA1, 2 (18.2%) were NA2/NA2 and 3 (27.3%) were NA1/NA2 and 1 (9%) was null.

#### 3.4.2 FcyRIIIb Genotype Distribution in the Patient Group

The results of the study showed that 104 (40.9%) out of the 254 patients were NA1/NA1, 22 (8.7%) were NA2/NA2, 61 (24%) were NA1/NA2, and 67 (26.4%) were Null genotype (Figure 3.11). Among the 64 Northerners, 21 (32.8%) were Null, 25 (39.1%) were NA1/NA1, 3 (4.7%) were NA2/NA2 and 15 (23.4%) were NA1/NA2. Of the 40 Ewes, 9 (22.5%) were Null, 18 (45%) were NA1/NA1, 6 (15%) were NA2/NA2 and 7 (17.5%) were NA1/NA2. Of the 41 Gas, 13 (31.7%) were Null, 15 (36.6%) were NA1/NA1, 2 (4.9%) were NA2/NA2 and 11 (26.8%) were NA1/NA2. Among the 109 Akans, 24 (22%) were Null genotype, 46 (42.2%) as NA1/NA1, 11 (10.1%) as NA2/NA2 and 28 (25.7%) were NA1/NA2.





## FcyRIIIb genotypes

**Figure 3.11.** Frequency distribution of the FcγRIIIb genotype of the malaria patients and the controls. The trend in the distribution of the various genotypes seems very different between the control and patient groups. While the NA1/NA2 and NA2/NA2 were the predominant genotypes among the control group, it was the NA1/NA1 and Null genotypes that dominated the patient group.

### 3.4 3. Overall Relationship between FcyRIIIb Genotype and Ethnicity

The genotype distribution found within the different ethnic groups is shown in Table 3.3. On the whole no significant association was found between the p atients and controls ( $\chi^2$ = 11.61, P=0.24). Among the controls, statistical analysis showed that there was no significant association between any of the Fc $\gamma$ RIIIb genotype and the ethnic groups (NA1/NA1,  $\chi^2$ =0.759, P=0.86; NA2/NA2,  $\chi^2$ =4.333, P=0.23; NA1/NA2,  $\chi^2$  =1.146, P=0.76; Null,  $\chi^2$  =3.341, P=0.34). Again no significant association was found between this Fc $\gamma$ RIIIb genotype distribution and the ethnic groups within the patient group (NA1/NA1,  $\chi^2$ =4.298, P=0.12; NA2/NA2,  $\chi^2$ =0.747, P=0.69, NA1/NA2,  $\chi^2$ =4.117, P=0.13; Null,  $\chi^2$ =2.194, P=0.33).

### 3.4.4 Comparison of FcyRIIIb Distribution of the Control and Patient Groups

The Fc $\gamma$ RIIIb genotypes for the patient and control groups were distributed as illustrated in Figure 3.11. There was a significant difference observed in the overall genotype distribution between the patients and controls ( $\chi^2$  =52.17, P=0.000). Furthermore, there were significant differences in the NA1/NA1 ( $\chi^2$  =6.548, P=0.04), NA2/NA2 ( $\chi^2$  =21.160, P=0.00) and the Null genotype ( $\chi^2$  =7.876, P=0.02) between the patients and controls. No significant differences were found between the patients and controls with the heterozygote NA1/NA2 genotype ( $\chi^2$  =0.604, P=0.74).

Table 3.3. FcγRIIIb genotype percentage distribution among the different ethnic groups within the control and patient groups

		Fcg RIIIb genotype (%)			
Ethnic group		NA1/NA1	NA1/NA2	NA2/NA2	Null
Akan	Control	45.5	27.3	18.2	9.0
	Patient	42.2	25.7	10.1	22.0
Ga	Control	16.7	53.3	30.0	0.0
	Patient	36.6	26.8	4.9	31.7
Northener	Control	7.7	38.5	46.2	7.6
	Patient	39.1	23.4	4.7	32.8
Ewe	Control	4.8	47.6	33.3	14.3
	Patient	45.0	17.5	15.0	22.5

69

### 3.5. Relationship between FcyRIIIb Genotype and Disease Status

The distribution of the FcγRIIIb genotype frequencies within the different presentations of malaria is shown in Figure 3.12. Out of 124 patients categorized as having uncomplicated malaria 35 (28.2%) were Null, 43 (34.7%) were NA1/NA1, 9 (7.3%) were NA2/NA2 and 37 (29.8%) were of NA1/NA2. Those presenting with cerebral malaria were 54 and out of these 10 (18.5%) were the Null genotype, 27 (50%) were NA1/NA1, 6 (11.1%) were NA2/NA2 and 11 (20.4%) were NA1/NA2. Among the 76 patients who were classified as having severe anaemia, 22 (28.9%) were Null, 34 (44.7%) were NA1/NA1, 7 (9.2%) were NA2/NA2 while 13 (17.1%) were NA1/NA2.

Statistical analysis revealed that the overall F cyRIIIb distribution was significantly associated with the disease malaria ( $\chi^2$ =60.45, P=0.000). The individual FcyRIIIb genotypes were all associated with malaria as a disease but not with the specific categories. The association between FcyRIIIb-NA1/NA1 genotype and malaria was quite significant ( $\chi^2$ =7.147, P=0.003) and likewise the FcyRIIIb-NA1/NA2 genotype ( $\chi^2$ =11.160, P=0.001) c ompared to the control. FcyRIIIb-NA2/NA2 genotype also showed a significant relationship with malaria ( $\chi^2$ =9.239, P=0.04). The association between the null genotype and malaria (Figure 3.13) was also found to be significant ( $\chi^2$ =7.876, P=0.02). There was however, no significant association found between the FcyRIIIb genotype frequency and the presentation of 'coca cola' urine ( $\chi^2$ =2.692, P = 0.44) and also the presentation of respiratory distress ( $\chi^2$  = 3.555, P = 0.737).

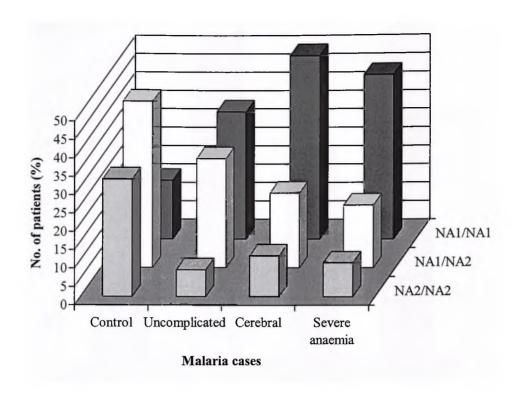


Figure 3.12. Frequency distribution of the FcyRIIIb genotypes within the different disease categories.

The relationship between FcyRIIIb and malaria shows that those with the homozygous NA1/NA1 genotype are more likely to be at risk while NA2/NA2 seems to lend some protection against malaria.

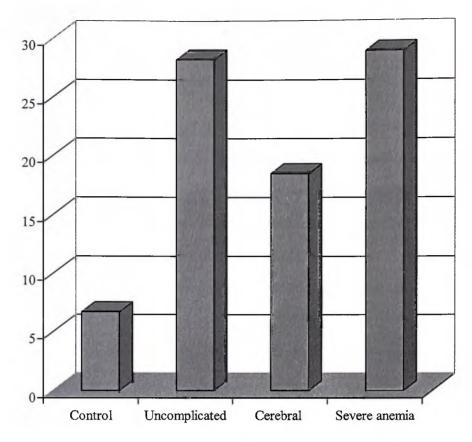


Figure 3.13 Prevalence of the null genotype within the different malaria patient groups

Compared to the controls the null genotype seem to be enhanced within the patient population, especially in the case of uncomplicated malaria and severe anaemia

## **CHAPTER 4**

### DISCUSSION AND CONCLUSION

#### 4.1 DISCUSSION

This present study was set out to find out firstly, whether the distribution of the Fcy receptors IIa and IIIb was ethnically biased, and secondly to determine if there was any association between the genetic polymorphism of these receptors and the incidence of severe malaria in Ghanaian children. The study also tested the probability of the FcyRIIa, and FcRIIIb polymorphisms, that is, the H-R and NA genotypes being associated with a person's susceptibility to severe malaria.

The homozygous H/H131 for the FcyRIIa receptor was found to be generally under represented among all the tribes, but the distribution was not significantly different when the control population was compared to the patient group (P=0.29). There was however a high representation of the heterozygous H/R131 in all the different ethnic groups, ranging from 47.6% among the Ewes to 63.6% among the Akans in the control group and 53.7% in the Gas to 62.5% in the Ewes within the patient group. Here again, the difference between the two groups was not significant (P=0.68). With regards to the homozygous FcyRIIa-R/R131, there were also no significant differences between the two groups (P=0.63). Even though the distribution of the ethnic groups was not similar between the two study groups, the insignificance of the differences between the overall genotype distribution in the controls and patients validates the use of the subjects from Dodowa as controls for the study.

The heterozygous H/R131 genotype was found to be the dominant genotype being 58.7% and 57.9% among the two study groups. That H/R131 was dominant is not

surprising because this finding compares with the results of a study by Salmon *et al.* (1996) among black African Americans in which 50% of them possessed this same genotype and Shi *et al.* (2001) found 61% of Kenyan children possessing it.

With regards to the disease category and the genotype distribution, three significant associations were found; that the number of patients with R/R131 was significantly higher in the cerebral malaria and severe anaemia groups and H/H131 was significantly lower in the severe anaemia group ( $P \le 0.002$  in all cases). These results suggest that possessing the homozygous  $Fc\gamma RIIa$ -R/R131 genotype may predispose or increase the susceptibility of an individual to severe anaemia and cerebral malaria.

Although a great deal of work has been done, the pathogenesis of the anaemia associated with *falciparum* malaria remains uncertain. It may well be that the inability of the FcγR/R131 to bind IgG<sub>2</sub> coated parasitized erythrocytes efficiently, delays their immune clearance, thus leading to more parasitized erythrocytes in the human host, thereby resulting in anaemia. There is also the evidence that in severe anaemia, there is reduced monocyte function as a form of immune suppression, and this subsequently leads to reduced Fc-mediated phagocytosis, especially in the case of the less efficient R/R genotype (Ward *et al.*, 1984). This could therefore explain why a large proportion of the patients who were diagnosed as having severe anaemia possessed this genotype. Abdalla and Weatherall (1982) have shown that in severe malarial anaemia, the monocyte erythrophagocytosis of non-parasitized erythrocytes is elevated, and this means that anaemia in acute malaria could be due to opsonization and phagocytosis of both parasitized and non-parasitized red cells. This reasoning, however, does not fully explain the reason for the over representation of

the R/R131 genotype in severe anaemia cases, since the binding capability of the R/R131 genotype of the Fcy receptor is not as efficient as the H/H131.

In a recent publication, Shi et al. (2001), suggested that regardless of its association with increased susceptibility to encapsulated bacterial infections, the frequency of FcqRIIa-R/R131 remains relatively stable in most human populations; implying that it is being selected for. The authors explain that this could be an indication of infections that depend on IgG<sub>3</sub> and IgG<sub>1</sub> but not on IgG<sub>2</sub> to mediate protective immunity, thus providing selective advantage for the poorly IgG<sub>2</sub>-binding FcqRIIa-R/R131 genotype. Furthermore, Shi et al. (2001) reported that Kenyan children with FcqRIIa-R/R131 were less likely to have repeated high-density P. falciparum infection, which suggests that this genotype may rather have a protective effect and that this protective effect may be dependent on IgG1 and/or IgG3 but not IgG<sub>2</sub>. However, it must be noted that severe malaria is not solely dependent on parasite load but rather on an inter combination of other factors such as accelerated destruction of non-parasitized erythrocytes and bone marrow dysfunction.

The finding that high proportion of the patients possessing the R/R131 genotype among cerebral malaria cases may be attributed to the inability of this receptor genotype to effectively bind and thus clear the parasites quickly enough. This may therefore lead to more parasitized red blood cells finding their way into the brain tissues where they are sequestered into the microvascular endothelium of the brain cells. The consequences of this sequestration are reduced oxygen and substrate supply, leading to anaerobic glycolysis and lactic acidosis which gives rise to the coma.

There was however no association found between this genotype and uncomplicated malaria and this may be due to the low parasitaemia and therefore the relative inability of the  $Fc\gamma RIIa$ -R/R131 to bind may not be relevant in the immune clearance of these parasites.

The dominant effect of the R allele in severe anaemia cases is reduced as seen in the number of the heterozygote H/R131. This is not surprising since this genotype is expected to be intermediate in the binding of IgG2 compared to H/H131 homozygous (van de Winkel and Capel, 1993). This raises the possibility that the apparent association of this genotype with malaria may be due to events that occur after antibody binding to monocytes, such as monocyte activation and release of soluble factors. However it remains to be determined whether there is a potential interference between the two genotypes, expressed on the same cell, and / or whether the interference consequently causes a defect of activation and cytokine production of monocytes in the FcyRIIa-H/R131 heterozygotes.

There was significant difference in the number of patients presenting with all disease forms with the homozygous H/H131 genotype ( $P \le 0.01$  in all cases). It indicates that children with the H/H131 genotype are less likely to be at risk from severe malaria than those with R/R131. This would mean that the allotype, H, confers some protection against all forms of the disease. The role of Fc $\gamma$ RIIa-H/H131 genotype in malaria infection might be more complex, since this allotype can efficiently bind IgG<sub>2</sub>, as well as IgG<sub>1</sub> and IgG<sub>3</sub>. P revious  $in\ vitro$  s tudies have shown that ADCI function mediated by IgG<sub>1</sub> and IgG<sub>3</sub> is inhibited by IgG preparation with high IgG<sub>2</sub> concentration (Bouharoun-Tayoun  $et\ al.$ , 1995). Indeed, Shi  $et\ al.$  (1999) observed that the balance between IgG<sub>1</sub>, IgG<sub>2</sub> and IgG<sub>3</sub> is a biologically important factor in the

role of FcγRIIa-H/H131 genotype in malaria infections. Although the H allele confers protection, possessing it in the heterozygous state, does not influence the development of cerebral malaria. It is therefore not dominant in its effect unlike FcγRIIa-R/R131 in severe anaemia.

In a similar study to find the relationship b etween the F cγRIIa p olymorphism and infection in children, H/H131 was found to be over represented, (H/H131 – 64%, H/R131 – 27% and R/R131 – 9%) in black children with sickle cell disease (Norris et al., 1996). C onsidering the fact that the sickle cell trait is a genetic factor which tends to protect individuals from malaria, it is therefore not surprising that those presenting with severe malaria showed an under representation of the H/H131 genotype. This is also supported by a study by Salmon et al., 1996 among certain Africa Americans with systemic lupus erythematosus (SLE), which has similar pathogenesis as malaria.

FcγRIIIb polymorphism was found not to be significantly associated with any ethnic group within both the controls and the patient group. The genotype frequencies were found to be inconsistent between the control group and the patient population within any one ethnic group, and this gives an indication that this genotype is randomly distributed and not ethnically biased.

However the FcγRIIIb-NA1/NA1 genotype was found to differ significantly (P=0.03), when the patients were compared to the control group, thus indicating an association with malaria and therefore predisposing individuals to the disease (Figure 3). The FcγRIIIb-NA2/NA2 on the other hand seems to offer protection against all

forms of malaria, as it significantly decreased in the case of all the different disease categories. Due to the differences in the binding capabilities of the neutrophil antigens receptors 1 and 2, in which the NA1 binds IgG₁ and IgG₃ more efficiently than NA2, it was expected that the NA2 in the homozygous state would be over represented in the patients than in the healthy controls. On the contrary, it was rather the NA1/NA1 genotype, which was more represented (40.9%) among the malaria patients than the NA2/NA2 genotype (8.7%). Supposing the individuals studied were non-immune, the predominant antibody produced will be IgG₂; and since the neutrophil antigen receptors do not bind this antibody, then a person's susceptibility to severe malaria or otherwise will not be subject to his FcγRIIIb genotype. There may therefore be other properties of the FcγRIIIb-NA2/NA2 genotype which help to protect individuals against malaria.

There was significant difference found between the patients and controls possessing the heterozygous FcγRIIIb-NA1/NA2 (P=0.001). The results showed these heterozygotes to be intermediate between the two homozygote genotypes. This also demonstrates the protective effect of the NA2 gene, in that its presence reduces the protective effect of the NA1.

The Fc $\gamma$ RIIIb polymorphism is thought not to play any role in an individual's predisposition to bacterial infections (Rascu *et al.*, 1997; Bredius *et al.*, 1994). The reasoning is because IgG<sub>2</sub> is the main antibody that fights against bacterial infections and neutrophil antigen receptors do not bind IgG<sub>2</sub>. However in the case of malaria, there is the interplay of IgG<sub>1</sub>, IgG<sub>2</sub>, and IgG<sub>3</sub>, and since these neutrophil antigens bind IgG<sub>1</sub> and IgG<sub>3</sub>, the difference in their binding capabilities is likely to ensure that they influence an individual's susceptibility to malaria.

It is worthy to note that the Null genotype, where the individual possesses neither of these neutrophil antigens was more enhanced in the patient population (26.4%) than among the healthy controls (6.7%) and was found to be significantly associated (P=0.02) with malaria. This is to be expected because the absence of these neutrophil antigens will imply that phagocytosis of IgG<sub>1</sub> and IgG<sub>3</sub>-opsonized parasitized erythrocytes in a malaria patient may be inefficient. The lack of these genes therefore may lead to a delayed clearance of these parasitized erythrocytes and hence an upsurge in the disease condition.

Apart from Enenkel et al. (1991) and Bredius et al. (1994) who found some Caucasians lacking the two neutrophil antigen receptors, not much is known about this condition and its relationship to any disease susceptibility. In another report by Schie and Wilson, (1997), in which the study groups were mainly Orientals and Caucasians, there was no reported incidence of the Null condition. The relative high incidence of the null condition seen in this study suggests that the condition may be probably more prevalent among Africans than their Oriental and Caucasian counterparts.

#### 4.2 Conclusion

In conclusion, there was no significant association between the genotypes of the IgG receptors, FcyRIIa and FcyRIIIb and ethnicity, and therefore the distribution of these genetic polymorphisms was not ethnically biased in the study population.

The heterozygous FcyRIIa-H/R131 was found to be the dominant genotype of the IgG receptor FcyRIIa among the study group and may probably be the dominant genotype within the overall Ghanaian population.

A significant association was found between FcyRIIa and the incidence of severe clinical malaria. FcyRIIa-H/H131 seems to offer protection against severe malaria and cerebral malaria in the homozygous state. In the heterozygous state as H/R131, the H allele offers protection against severe anaemia but not against cerebral malaria.

The homozygous FcyRIIa-R/R131, on the other hand, may be a predisposing factor for severe anaemia and cerebral malaria. In the heterozygous state its disadvantageous effect is not hampered by the presence of the H allele in cerebral malaria.

The genotypes of the IgG receptor FcyRIIIb polymorphism were randomly and evenly distributed among the study groups. There was no prevalence of one over the others. However the null genotype was appreciably represented within the study group.

The FcyRIIIb polymorphism was also found to be significantly associated with malaria. The homozygous NA1/NA1 was found from the study to be a heritable risk factor predisposing an individual to all forms of malaria.

The NA2/NA2 on the other hand appears to offer protection against all forms of malaria. Even in the heterozygote state, its protective effect is still seen in the case of

severe anaemia and cerebral malaria. The Null genotype is also suspected to be a predisposing factor in all forms of malaria.

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#### **APPENDICES**

#### APPENDIX I

#### PREPARATION OF SOLUTIONS

The following standard solutions were prepared using sterile double distilled water (sddw). Where appropriate, the solutions were autoclaved at 121lb/sq in. for 15 minutes in an Eyela autoclave (Rikikkaki, Tokyo).

#### **DNA Extraction**

#### 0.5% saponin

0.5g of saponin was dissolved in 100ml of freshly prepared1X Phosphate Buffered Saline (PBS)

#### 1X Phosphate Buffered Saline

8g of NaCl, 1.44g of Na<sub>2</sub>HPO<sub>4</sub> and 0.24g of KH<sub>2</sub>PO<sub>4</sub> were dissolved in 800ml of sterile double distilled water and the pH adjusted to 7.4 with concentrated HCL, and volume was made to 1000ml with distilled water and the solution was autoclaved.

#### 20% Chelex

20g of Chelex was dissolved in 100ml of distilled water and autoclaved.

#### 5mM Sodium Phosphate

0.71g of Na<sub>2</sub> HPO<sub>4</sub> was dissolved in 1000 ml of sddw and stored at - 20°C.

#### EtBr (10mg/ml)

1g Ethidium Bromide was completely dissolved in 100ml sddw and stored in the dark at 4°C...

#### **Solutions for Electrophoresis**

#### 10X TAE buffer

242g Tris base, 57.1ml glacial acetic acid, 100ml 0.5M EDTA, pH adjusted to 7.7 (with glacial acetic acid) and the volume made up to 1000ml with sddw.

#### 0.5M EDTA (pH 8.0)

186g of EDTA, dissolved in 800ml sddw, pH adjusted with NaOH pellets and volume made up to 1000ml and stored at room temperature.

#### Gel Loading Solutions

#### 6X Bromophenol Blue

0.25% bromophenol blue was added to 40% sucrose in water and stored at 4°C.

#### 5X Orange G

20% (w/v) Ficoll, 25mM EDTA, 2.5(w/v) Orange G were mixed and stored at room temperature.

#### APPENDIX II

### SEVERE MALARIA STUDY QUESTIONAIRE Recruit and case record form

ID number
Date of admission
Name
Ethnic origin
(Hausa, Fafra, Dagomba=1, Ewe=2, Ga -Adangme=3, Akan=4
Other=5 Specify)
Sex (1=M, 2=F)
Age (Last half year passed)
Weight (in kgs)
Height (in cms)
Referral on the basis of a lab report positive for malaria parasites (1=Yes
2=No)
History of a febrile illness in the preceding 2 weeks (1=Yes, 2=No)
Duration of symptoms before presentation
Temperature (At time of blood collection)
Total coma score (0-5)
Motor Response (0-2)
Verbal Response (0-2)
Eye Movement (0-1)
Duration of coma (0= no coma, 1=0-60mins, 2=60+ mins)
Observed convulsions (1=Yes, 2=No)
Respiratory Distress (1=Yes, 2=No)
Observed coca cola urine (1=Yes, 2=No)
Sickling status (1=positive, 2=negative)
Parasite density (per microlitres)
Haemoglobin (Hb)
RM_Stiv (in mmol/I)

Exclusion criteria

Presence of Neurological disease history (1=Yes, 2=No)

Recent severe head trauma (1=Yes, 2=No)

Other causes of coma (1=Yes, 2=No)

Recent severe bleeding (1=Yes, 2=No)

Other causes of anaemia (1=Yes, 2=No)

Obvious clinical evidence of bacterial infection (1= Yes, 2=No)

Molecular biology analysis

FcyRIIa genotype R..... H.....

FcyRIIIb genotype NA1..... NA2.....

## APPENDIX III

# A. Patient demographics and PCR results

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Akan Ga Akan	Akan	Akan	Akan	Akan	Ewe	North	Ga	Akan	North	Akan	Akan	Akan	Akan	Са	Ewe	g G	North	Akan	North	B	North	North	Akan	Akan	Akan	North	Ewe
418 419 435	436	437	444	446	451	453	454	456	475	476	413	421	425	426	427	428	440	442	445	447	448	452	457	461	465	467	469

N2/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2 N1/N2	NIAZ NIAZ NIAZ NIAZ NIAZ NIAZ NIAZ
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3.2 9.5 5.3 5.3 5.3 5.3 5.3 6.1 6.1 6.1 6.1 6.1 6.1 6.1 6.1	6.29 6.28 7.38 8.30 7.70 7.70 7.70 7.70 7.70 7.70 7.70 7
NO N	X S O O O O O O O A S O O O O O O O O O O
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39.0 37.0 37.0 38.8 39.4 37.5 37.5 37.5 37.5 37.5 37.5 37.5 37.5	36.0 36.0 36.0 36.0 35.0 37.0 37.0
9.00 6.40 7.30 7.30 7.30 7.30 7.30 7.30 6.50 6.60 6.60 6.50 6.50 6.50 6.50 6.5	4.30 6.60 4.50 7.90 7.90 2.80 2.50
819 2772889 1435 20770 667440 1955 144606 1331 103296 45314 1065 35075 55616 62730 228 2772 98600 153468 6129	1700 270427 540 39816 13518 662 24
4 Z 7 Z Z 7 Z Z Z Z Z Z Z Z Z Z Z Z Z Z	ZZFFFFZZ
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North North Akan Akan North Akan Ga Akan North North Akan Bwe Gwe Ga	Akan Ga Ga Akan Ewe Ewe North
471 474 474 480 036 044 1170 170 210 220 240 242 242 242 242 242 243 254 257 264 264	278 278 281 281 282 315 323 323

N1/N2	N2/N2	N1/N2	NULL	N2/N2	NINI	N1/N2	NINI	N2/N2	N1/N2	N1/N2	N1/N2	N1/N2	NI/N2	NIN	NN	NULL	N1/N2	N1N1	N1/N2	N1/N2	N1/N2
H/R	H/R	RAR	H/R	H/H	H/R	H/R	H/R	R/R	H/R	R/R	H/R	H/R	H/R	H/R	H/R	H/R	H/R	H/R	H/R	H/R	H/R
Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	Z	z
6.9	5.7	6.7	9.7	7.7	4.2	7.0	4.3	5.0	4.9	5.0	9.9	8.1	4.3	6.7	5.1	4.2	4.9	3.9	10.9	3.7	4.9
YES	8 0 2	NO NO	YES	NO NO	YES	NO NO	ON.	YES	NO NO	YES	NO	YES	S N	NO NO	NO	NO NO	YES	NO NO	NO NO	YES	NO
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38.0	37.0	37.0	36.5	37.0	38.0	37.8	37.0	36.8	36.0	37.8	37.5	36.6	36.2	37.0	39.9	38.0	39.0	38.5	37.2	36.5	37.0
5.10	9.40	3.30	6.10	8.10	1.20	9.00	5.10	3.80	5.80	9.10	6.40	5.60	6.40	8.40	5.30	9.10	4.40	7.00	5.20	11.30	06'9
13398	176015	2093	17596	440	44604	26137	21	140	98	3015	17721	47544	180183	90522	23496	00/89	4725	112736	100100	5	4722
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-	7.	s.	×.	5	5	3	5	1	4	5	5	=	3	3	4	5.	9	12	9	9	5.
North	Ewe	North	Ga	Akan	Akan	Akan	Ewe	Akan	Akan	Akan	Akan	Akan	North	Akan	Ewe	Akan	North	North	Akan	Akan	Akan
330	334	335	344	348	360	369	377	378	379	381	388	415	420	423	434	455	462	464	468	472	478

#### B. Control group demographics and PCR results

code	tribe	sex	age	temp	Wt/kg	Fcy IIa	FeyIIIb
DD002	Ga	M	4.	36.60	18.00	H/R	N2/N2
DD002	Ga	F	7.	36.10	22.00	H/R	N1/N2
DD005	Ga	M	9.	36.30	27.00	R/R	N2/N2
DD014	Ga	F	9.	36.50	25.00	H/R	N1/N2
DD015	Ga	F	11	7.70	60.00	H/R	N2/N2
DD016	Ga	M	2.	36.00	12.00	H/R	N2/N2
DD017	North	F	7.	36.90	17.50	H/H	N1/N2
DD018	Ga	F	12	36.60	31.50	R/R	N1/N1
DD019	Ga	M	13	36.00	31.00	H/R	N1/N2
DD021	Ga	F	6.	36.60	15.00	H/H	N2/N2
DD023	Ga	F	6.	36.30	14.00	H/R	N1/N2
DD026	North	M	7.	36.50	30.00	H/R	N2/N2
DD027	Ga	F	8.	36.20	22.00	H/R	N2/N2
DD028	Ga	F	1	36.20	28.20	H/R	N2/N2
DD032	Ga	F	10	36.60	25.00	H/R	N1/N2
DD035	Ga	$\mathbf{F}$	7.	36.10	18.50	H/R	N2/N2
DD039	Ga	F	5.	36.40	17.50	R/R	N1/N1
DD041	Akan	M	7.	36.80	16.50	H/R	N2/N2
DD042	Akan	M	9.	36.50	21.00	H/R	N1/N1
DD047	Ga	F		36.60	26.50	H/R	N1/N2
DD051	Akan	M	2.	36.10	13.20	H/H	N1/N1
DD059	North	F	7.	37.00	24.00	R/R	N1/N2
DD063	North	F	13	37.00	40.00	R/R	N2/N2
DD065	North	F	8.	36.20	24.50	H/H	N2/N2
DD076	Ga	M	9.00	36.80	24.00	R/R	N1/N2
DD078	Ga	F	10.	37.00	25.00	H/H	N1/N2
DD079	Ga	F	9.	36.00	27.00	H/H	N1/N2
DD081	Akan	M	5.	36.80	19.10	H/R	N1/N1
DD082	Akan	F	5.	37.20	14.00	R/R	N1/N1
DD083	Ga	$\mathbf{M}$	3.	36.50	16.00	H/R	N1/N1
<b>DD084</b>	North	M	12	37.10	28.00	H/R	N2/N2
DD085	North	M	9.	37.20	22.00	H/R	N1N2
DD086	Akan	M	3.	36.30	12.00	H/R	N1N2
DD087	Ga	M	6.	36.70	17.00	H/H	N2/N2
DD088	North	F	10	37.20	27.00	H/R	N1/N1
DD090	North	M	7.	37.00	17.00	H/R	NULL
DD103	Ewe	M	8.	37.20	25.00	H/H	N1N2
DD104	Ewe	M	12	36.80	42.00	R/R	NULL
DD105	Ewe	F	4.	37.30	13.00	H/R	N2/N2
DD108	Ewe	M	4.	37.20	11.50	H/H	N2/N2
DD109	Ewe	M	5.	36.40	15.00	R/R	N2/N2 N2/N2
DD110	North	M	3.	36.10	29.00	R/R	N2/N2 N2/N2
DD125							
DD125 DD128	Ewe	F	5.	36.60	13.00	H/H	N2/N2
179	Ewe	F	4.	36.90	15.50	H/R	N2/N2

DD130#	Ga	F	6.	37.20	19.00	R/R	N1N2
DD131	Akan	M	6.	37.40	17.00	H/R	N2/N2
DD132	Ewe	M	11	37.40	27.00	H/R	N2/N2
DD133	North	M	3.	37.20	15.00	H/R	N2/N2
DD134	Ewe	M	9.	36.40	26.00	R/R	N1N2
DD135	Ewe	M	8.	36.50	14.00	H/R	N1N2
DD138	Ga	F	10	36.50	26.50	H/R	N1N2
DD139	Ga	F	12.	37.40	26.00	H/R	N1N2
DD149	Ga	F	2.	36.60	11.50	H/R	N1N2
DD150	Akan	M	10	37.20	26.50	H/H	N1/N1
DD151	Ga	M	9.	37.00	25.00	H/R	N1/N1
DD153	Akan	M	6.	36.20	18.00	R/R	N1N2
DD154	Ewe	M	10	35.80	27.00	H/R	N1N2
DD156	Ga	M	8.	37.20	27.00	H/R	N1N2
DD159	Ewe	F	8.	36.60	18.00	R/R	N1N2
DD160	Ewe	F	4.	36.50	12.00	R/R	N1/N1
DD161	Ewe	M	10	36.20	30.00	H/H	N1N2
DD166	Ewe	F	11	36.60	35.00	H/R	N2N2
DD167	Ewe	F	19.	35.10	53.00	H/H	N1N2
DD169	Ewe	M	3.	36.90	11.00	H/H	N1N2
DD172	Ga	M	4.	37.50	14.00	H/H	N1N2
DD181	Ga	F	9.	36.00	23.00	H/R	N1N2
DD189	Ewe	F	9.	38.00	29.00	H/R	NULL
DD190	Akan	F	3.	36.40	10.50	H/R	N1N2
DD192	Ewe	M	4.	37.20	14.50	H/R	N1N2
DD193	Ewe	M	0.	37.00	20.00	R/R	NULL
DD195	Ewe	F	11.	36.90	33.00	H/R	N1N2
DD196	Ewe	F	.8	36.80	18.00	H/R	N1N2
DD197	Akan	M	8.	37.20	22.00	H/R	NULL
DD198	North	M	6.	36.90	17.00	H/R	N1N2
DD199	North	F	2.	36.10	12.00	H/R	N1N2